

Discussions on Conceptual Difficulties of Heavy Fermion Physics

Yi-feng Yang

Institute of Physics,
Chinese Academy of Sciences



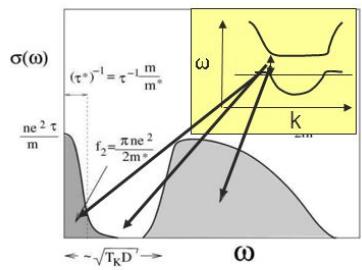
<http://hf.iphy.ac.cn>



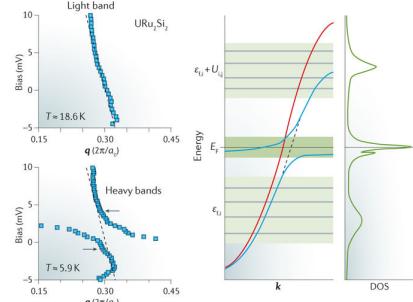
2017 第四届重费米子论坛@四川江油

Schematic Understanding of Heavy Fermion Concepts

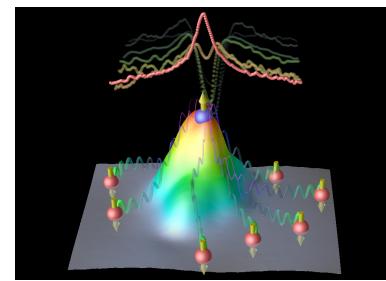
Optical



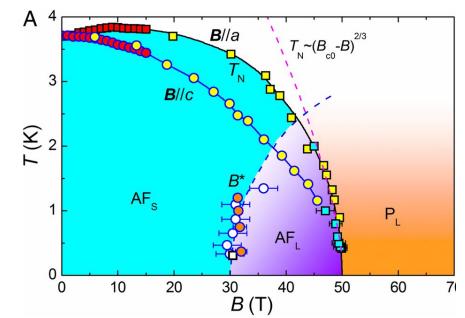
Mean-field



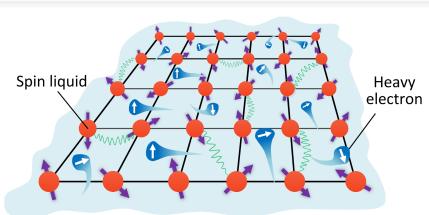
Kondo



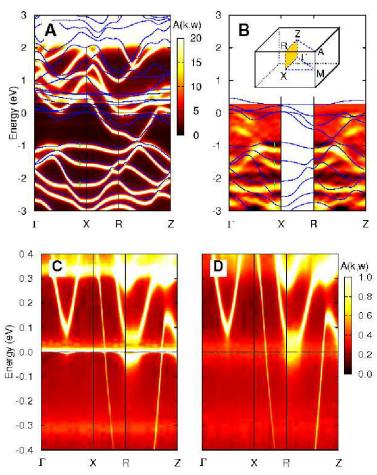
Kondo lattice



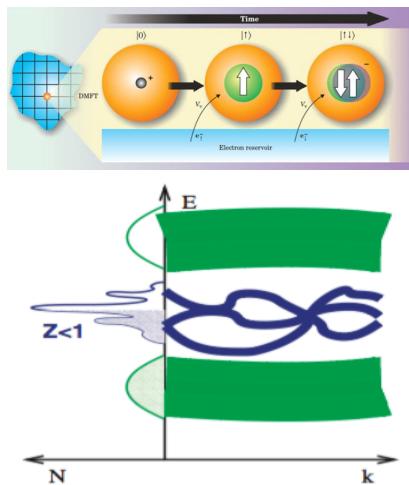
Local/Itinerant crossover



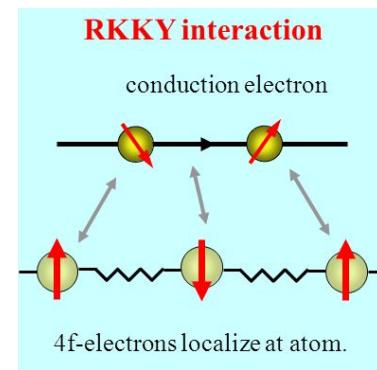
ARPES



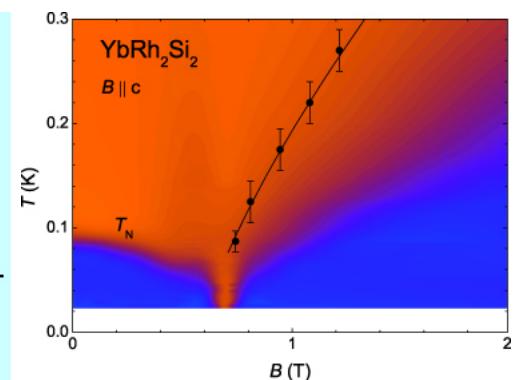
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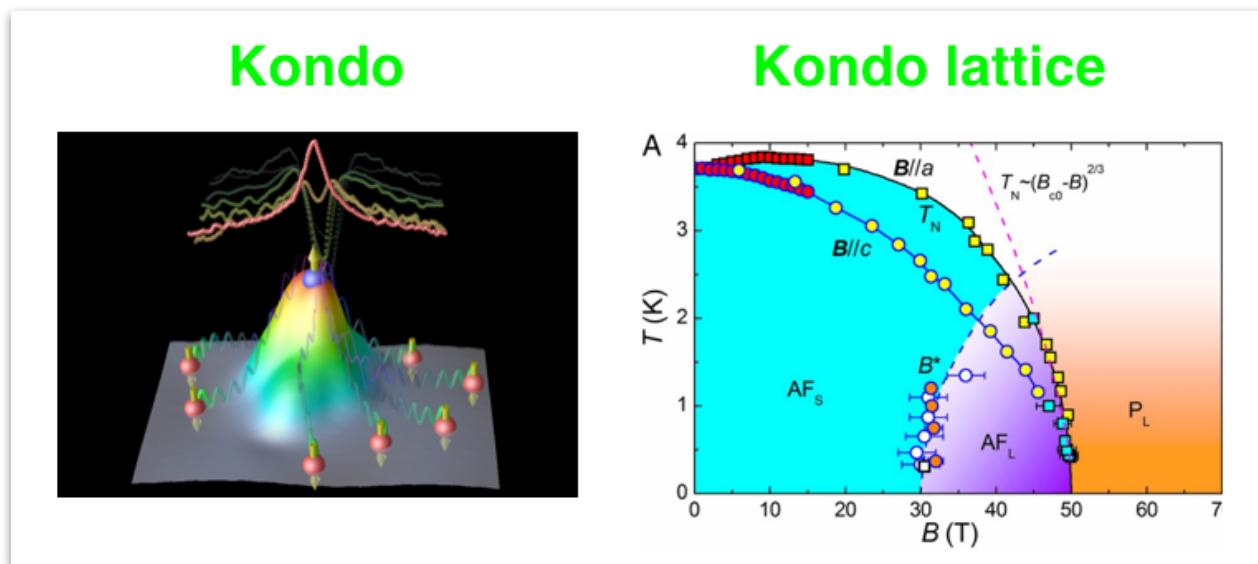
Magnetism



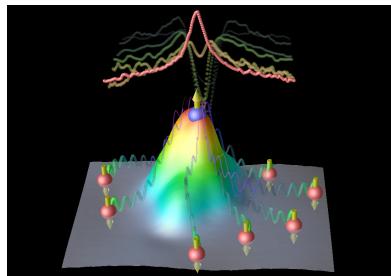
Quantum criticality



一、重费米子研究的历史发展



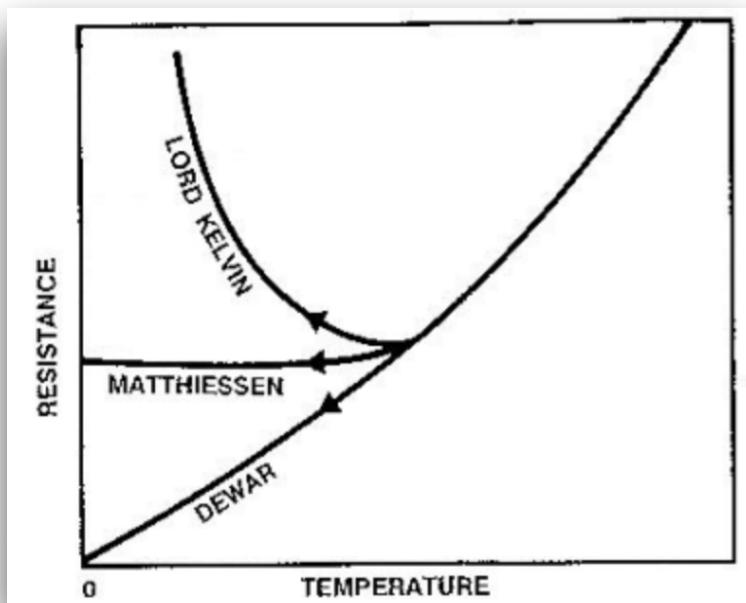
Kondo & Kondo Lattice



Historic development of Kondo

✓ (19th Century) Electron behavior @ $T \rightarrow 0$

$\ln T$	Kondo/2D WL
T	Non-Fermi liquid
T^2	Fermi liquid
T^5	Phonon scattering
$e^{-\Delta/T}$	Insulator/Semiconductor
$e^{T^{-1/n}}$	VRH (Semiconductor)
...	...

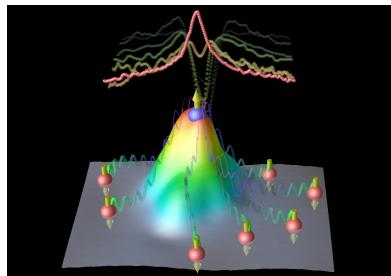


Kelvin



Dewar

Kondo & Kondo Lattice



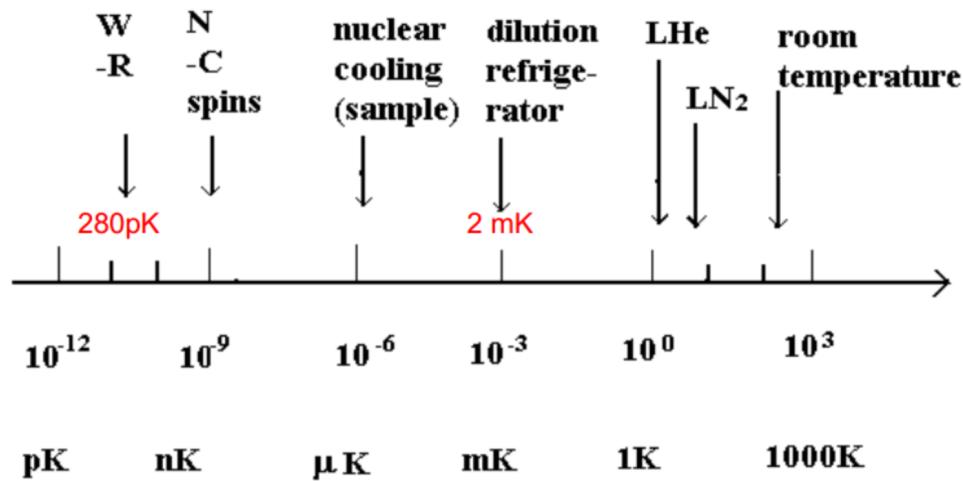
● Historic development of Kondo

- ✓ (19th Century) Electron behavior @ $T \rightarrow 0$
- ✓ Liquification & Resistance measurement

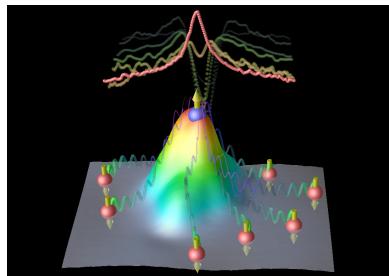
☺1895: 空气被液化 -192°C (81K)

☺1898: 氢气被液化 -253°C (20K)

☺1908: 氦气被液化 4.25K



Kondo & Kondo Lattice



● Historic development of Kondo

- ✓ (19th Century) Electron behavior @ $T \rightarrow 0$
- ✓ Liquification & Resistance measurement
- ✓ 1911 Superconductivity in Hg

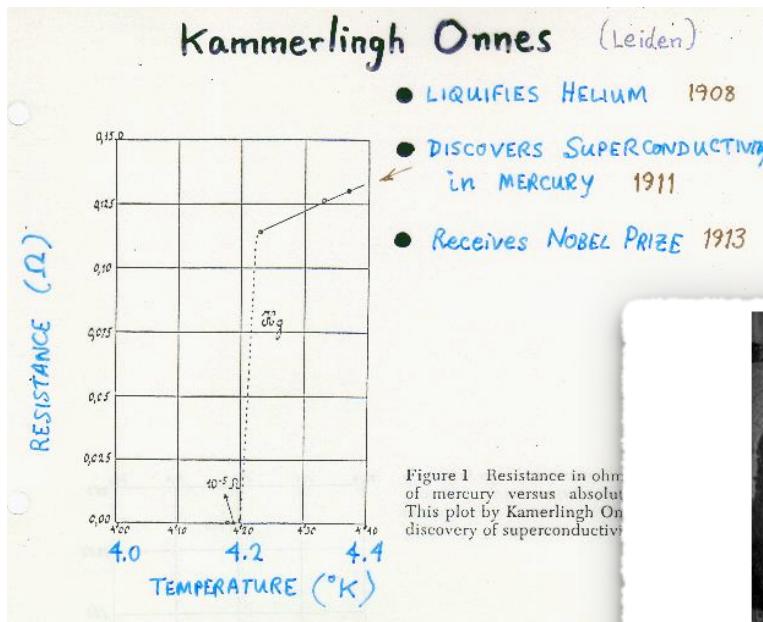


Figure 1. Resistance in ohm of mercury versus absolute. This plot by Kamerlingh Onnes shows the discovery of superconductivity.

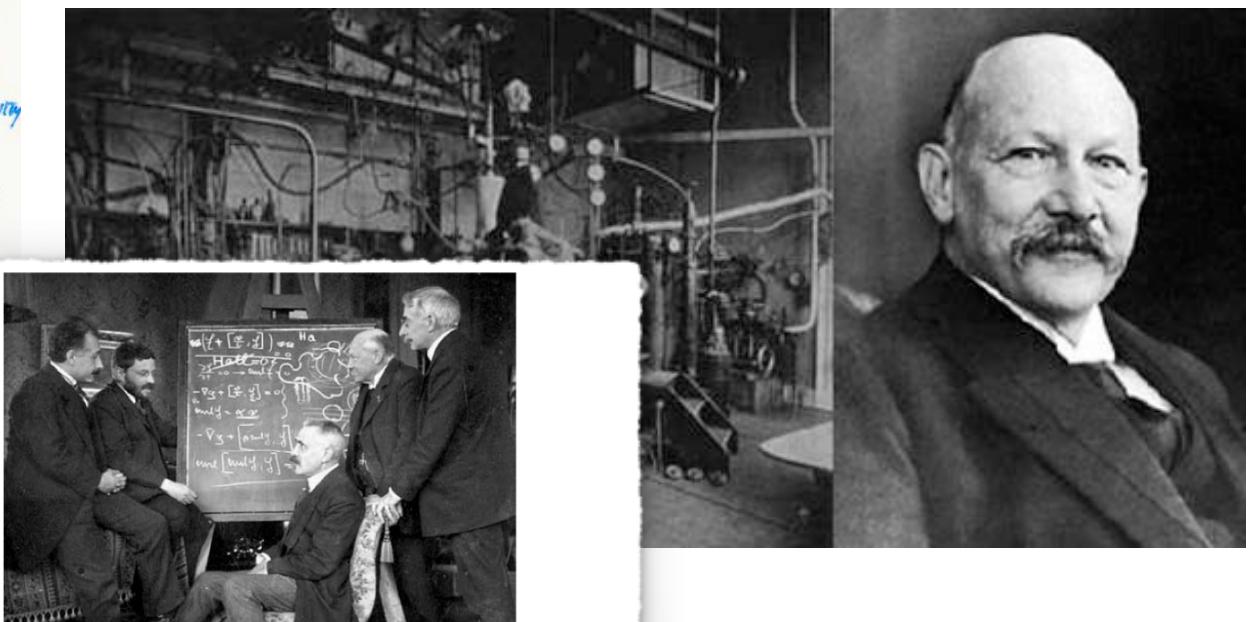
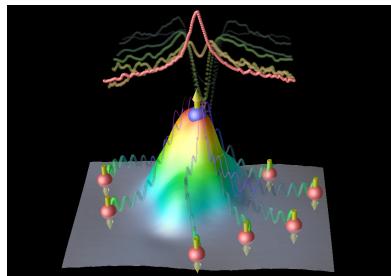


Fig. 1. Albert Einstein, Paul Ehrenfest, Paul Langevin, Heike Kamerlingh Onnes, and Pierre Weiss discussing superconductivity during the "Magnet-Woche" in Leiden in November 1920 (Photo: AIP)

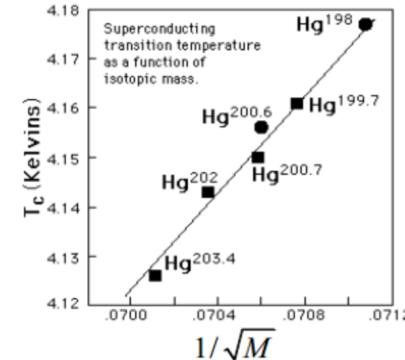
Kondo & Kondo Lattice



Historic development of Kondo

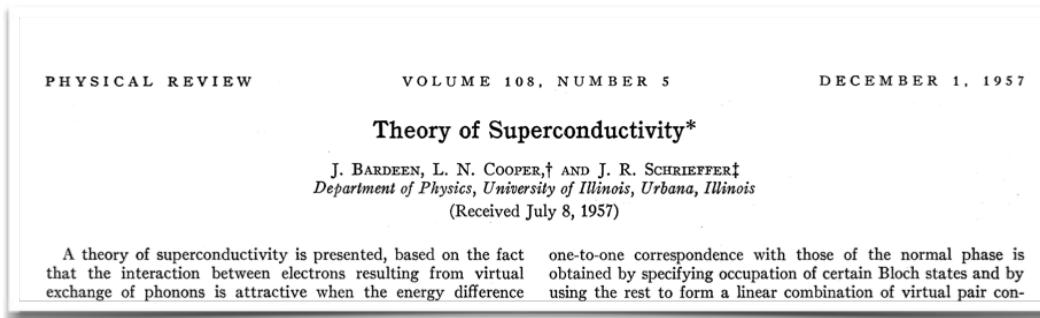
- ✓ (19th Century) Electron behavior @ $T \rightarrow 0$
- ✓ Liquification & Resistance measurement
- ✓ 1911 Superconductivity in Hg
- ✓ 1957 BCS Theory of Superconductivity

Smoking gun: Isotope effect

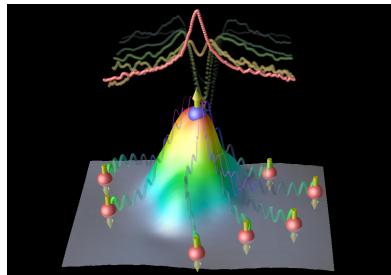


E. Maxwell, Phys. Rev. (1950)

C.A. Reynolds et al., Phys. Rev. 78 (1950).

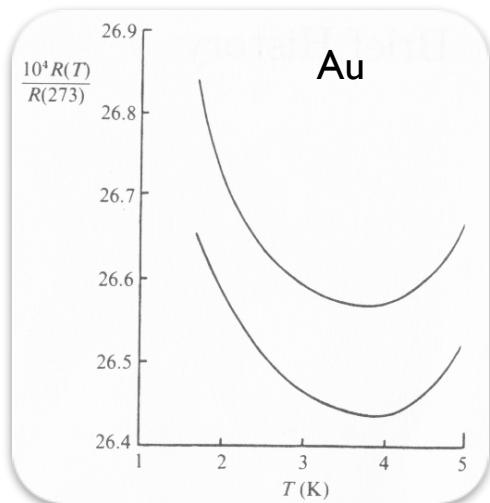


Kondo & Kondo Lattice



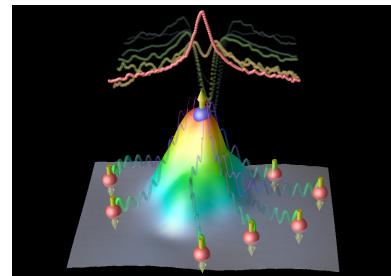
● Historic development of Kondo

- ✓ (19th Century) Electron behavior @ $T \rightarrow 0$
- ✓ Liquification & Resistance measurement
- ✓ 1911-1957 Superconductivity EXP → THEO
- ✓ 1934 Resistivity minima



de Haas, de Boer and van den Berg, 1934

Kondo & Kondo Lattice



Historic development of Kondo

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- ✓ Liquification & Resistance measurement
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- ✓ 1934 Resistivity minima

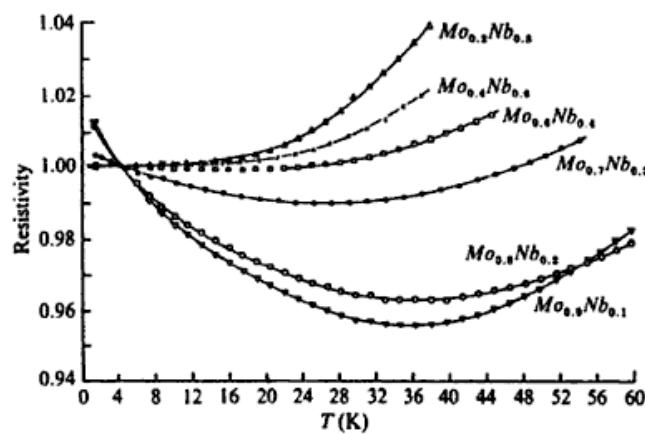


Figure 2.6 Resistance minima for Fe in a series of Mo-Nb alloys (from Sarachik et al., 1964). Compare the depths of the minima with the corresponding moments in figure 1.8.

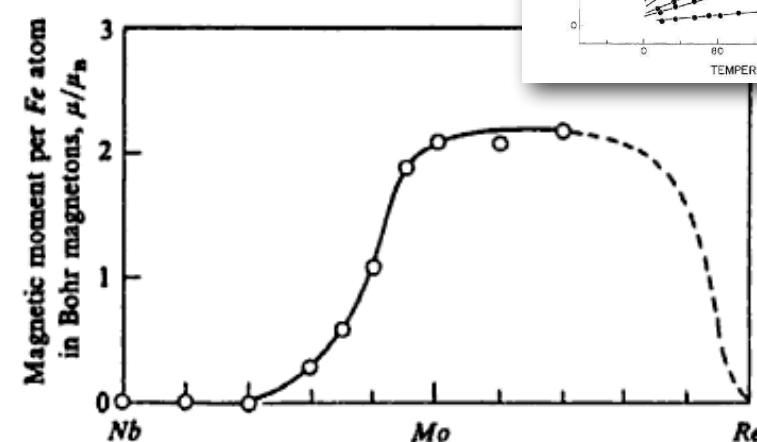


Figure 1.8. The magnetic moment in μ_B of Fe in various Mo-Nb and Mo-Re alloys as a function of alloy composition (Clogston et al., 1962).

Smoking gun: Resistance minima ➡ Magnetic impurity

Kondo & Kondo Lattice

Journal of the Physical Society of Japan
Vol. 74, No. 1, January, 2005, pp. 4–7
©2005 The Physical Society of Japan

SPECIAL TOPICS

Kondo Effect — 40 Years after the Discovery



Kondo Lattices and the Mott Metal–Insulator Transition

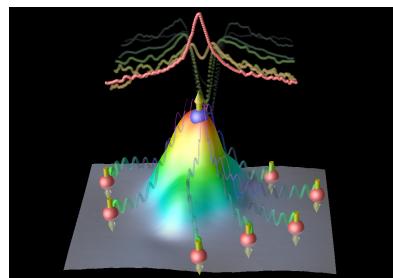
Ph. NOZIÈRES

*Laboratoire d'Etude des Propriétés Electroniques des Solides, Centre National de la Recherche Scientifique,
BP166, 38042 Grenoble Cedex 9, France*

(Received August 29, 2004)

In the Summer of 1964, I visited Urbana in order to work with my thesis advisor David Pines. The first person I met when entering the building was John Bardeen, just out of the seminar room. He was beaming when he told me “the long standing puzzle of the resistance minimum in metals is gone: we just heard a young Japanese theorist who has a beautiful explanation that is obviously the good one”. The Kondo effect was born—and John was right! But it was only the

Kondo & Kondo Lattice



Progress of Theoretical Physics, Vol. 32, No. 1, July 1964

Resistance Minimum in Dilute Magnetic Alloys

Jun KONDO

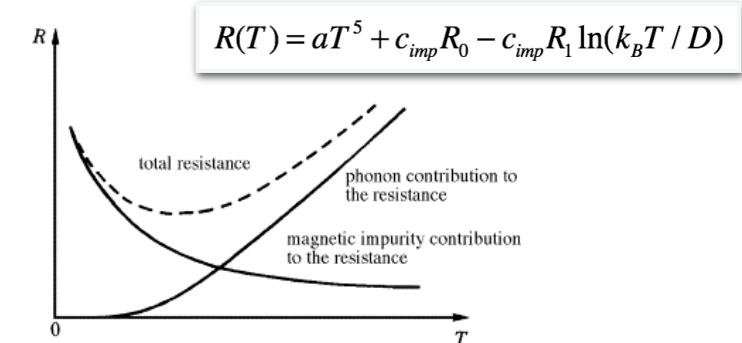
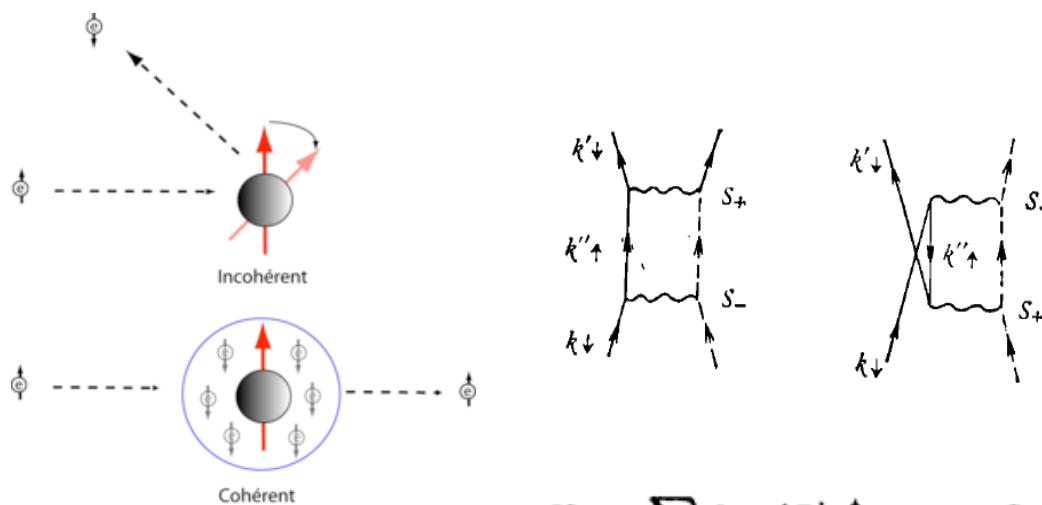
Electro-technical Laboratory
Nagatacho, Chiyodaku, Tokyo

(Received March 19, 1964)



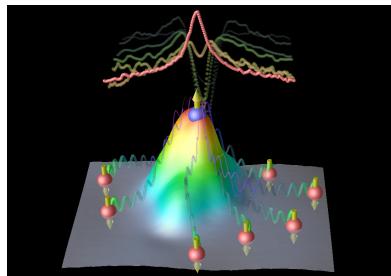
Historic development of Kondo

- ✓ (19th Century) Electron behavior @ $T \rightarrow 0$
- ✓ Liquification & Resistance measurement
- ✓ 1911-1957 Superconductivity $\text{EXP} \rightarrow \text{THEO}$
- ✓ 1934 Resistivity minima
- ✓ 1964 Kondo effect (logarithmic resistivity)



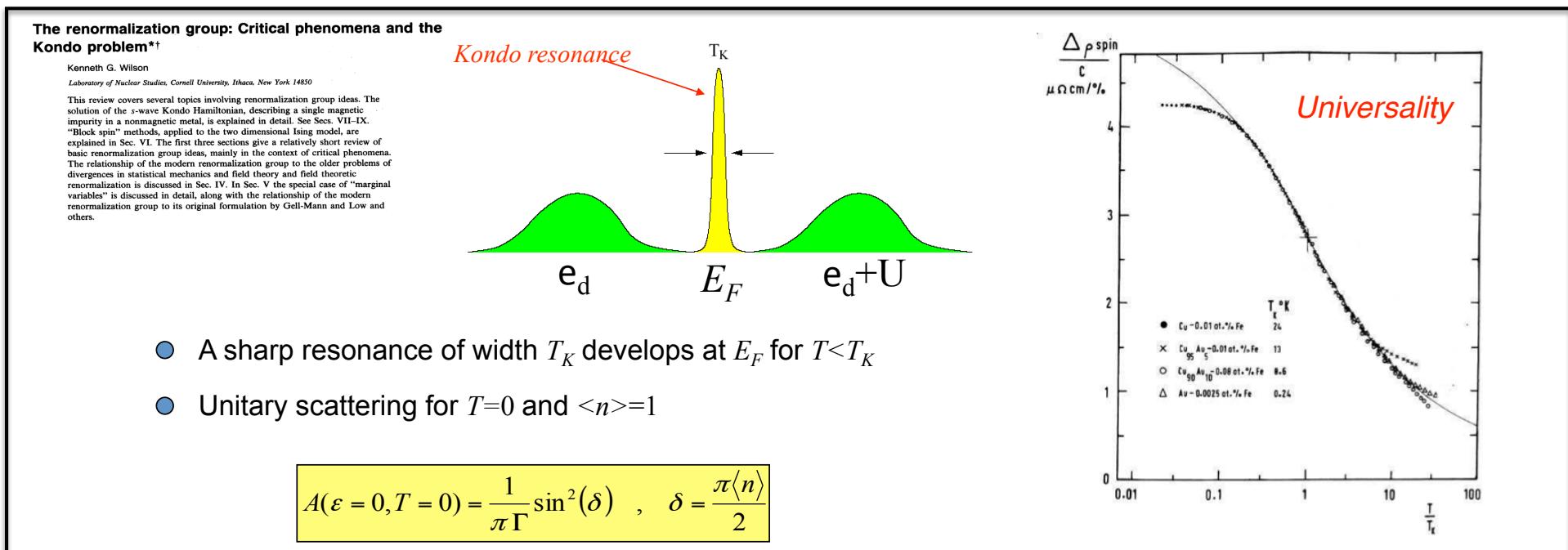
$$H_{sd} = \sum_{\mathbf{k}, \mathbf{k}'} J_{\mathbf{k}, \mathbf{k}'} (S^+ c_{\mathbf{k}, \uparrow}^\dagger c_{\mathbf{k}', \downarrow} + S^- c_{\mathbf{k}, \uparrow}^\dagger c_{\mathbf{k}', \downarrow} + S_z (c_{\mathbf{k}, \uparrow}^\dagger c_{\mathbf{k}', \uparrow} - c_{\mathbf{k}, \downarrow}^\dagger c_{\mathbf{k}', \downarrow}))$$

Kondo & Kondo Lattice

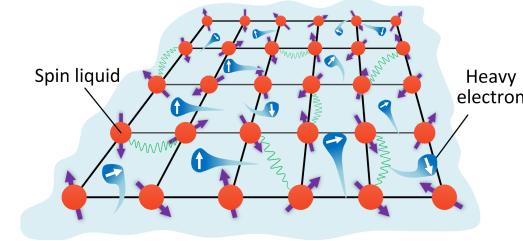
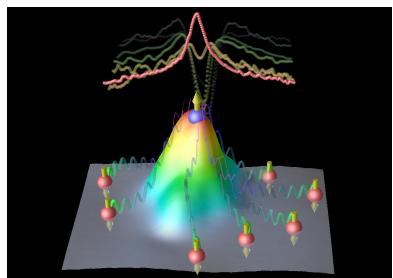


● Historic development of Kondo

- ✓ (19th Century) Electron behavior @ $T \rightarrow 0$
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- ✓ 1934-1964 Kondo effect $\text{EXP} \rightarrow \text{THEO}$
- ✓ Many approaches: EOM, NRG, QMC ...

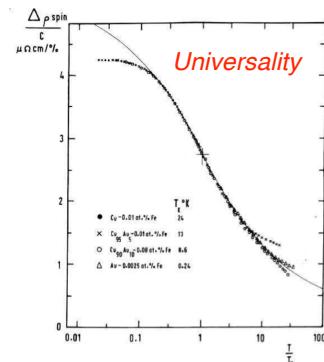
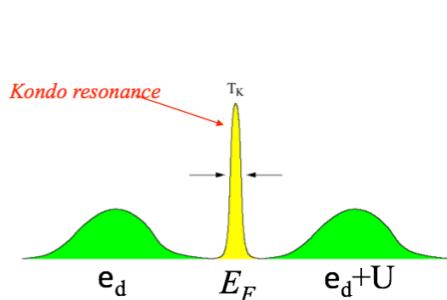


Kondo & Kondo Lattice



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Historic development of heavy fermions

- ✓ CeAl₃ @ 1975 @ $\gamma = 1.62 \text{ J/mol K}^2$

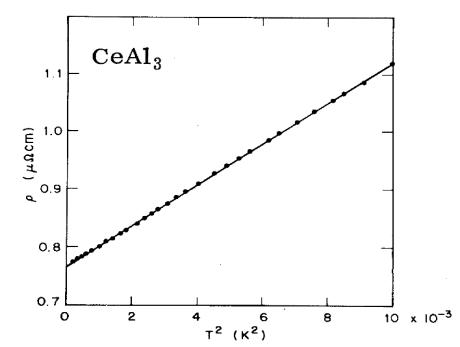
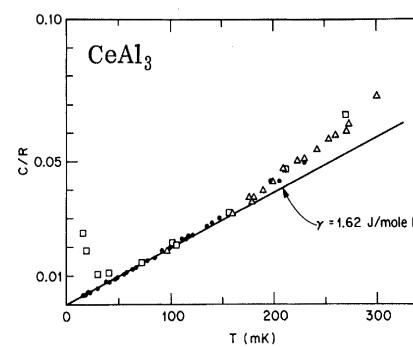
VOLUME 35, NUMBER 26 PHYSICAL REVIEW LETTERS 29 DECEMBER 1975

4f-Virtual-Bound-State Formation in CeAl₃ at Low Temperatures

K. Andres and J. E. Graebner
Bell Laboratories, Murray Hill, New Jersey 07974

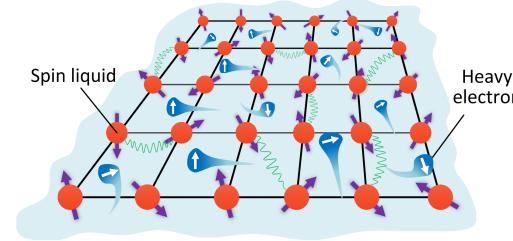
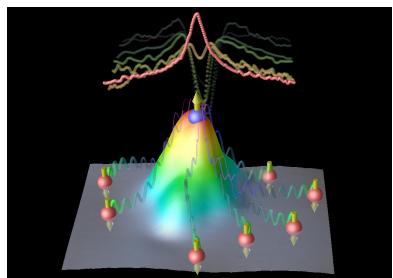
and

H. R. Ott
Laboratorium für Festkörperphysik, Eidgenössische Technische Hochschule,
Hönggerberg, Zürich, Switzerland
(Received 25 August 1975)



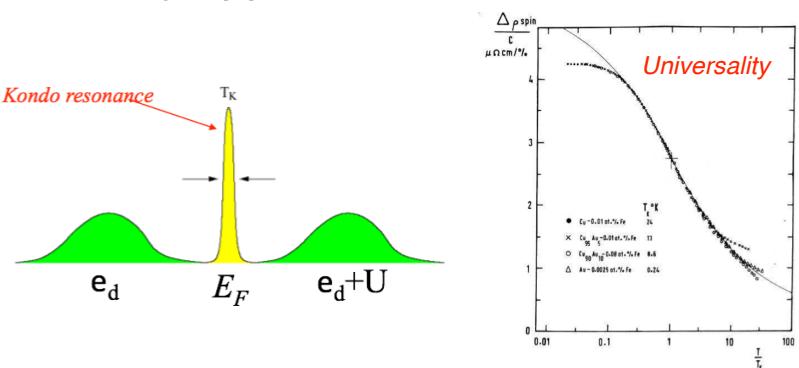
$$\gamma_{\text{Cu}} ; 0.7 \text{ mJ mol}^{-1} \text{ K}^{-2}$$

Kondo & Kondo Lattice



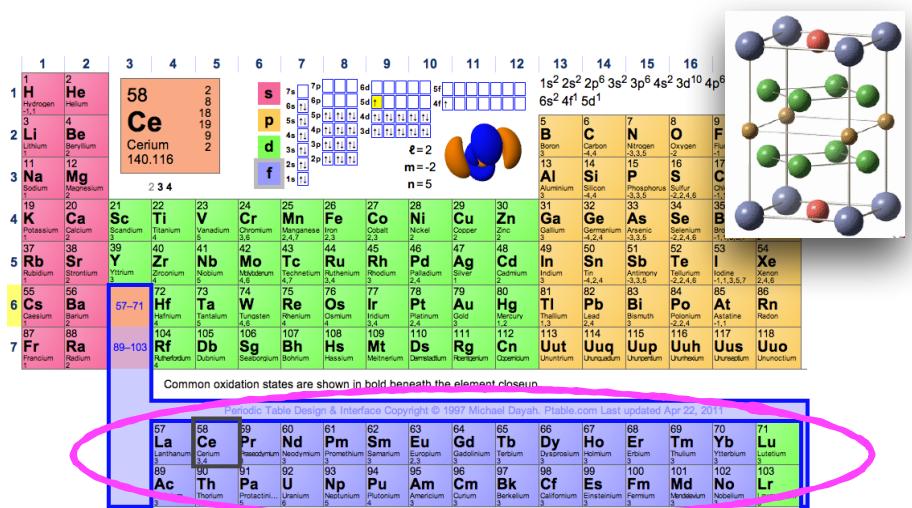
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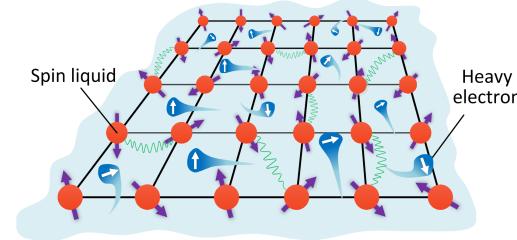
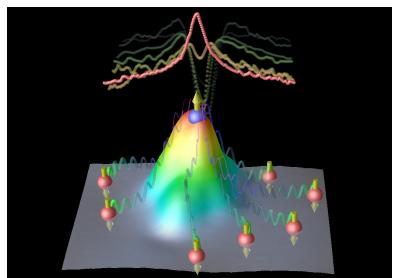
Historic development of heavy fermions

- ✓ CeAl_3 @ 1975 @ $\gamma = 1.62 \text{ J/mol K}^2$
- ✓ Ce, Yb, U, ..., 4f/5f intermetallics



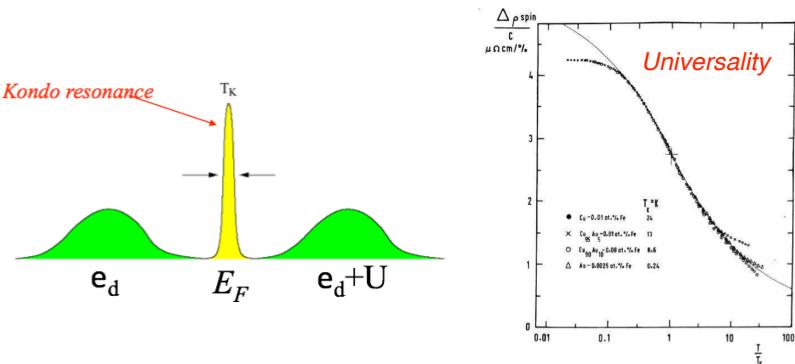
Some d-electron systems: LiV_2O_4 , $\text{CaCu}_3\text{Ir}_4\text{O}_{12}$...

Kondo & Kondo Lattice



Historic development of Kondo

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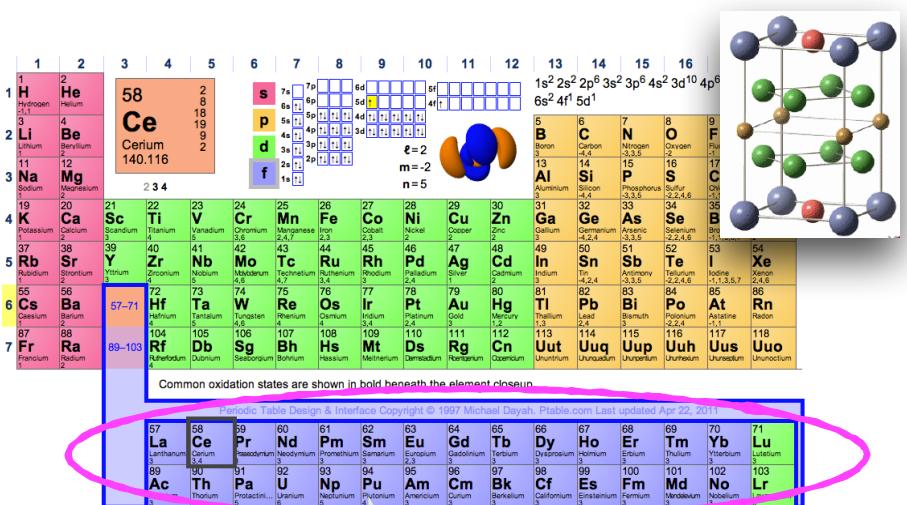


金/铜等



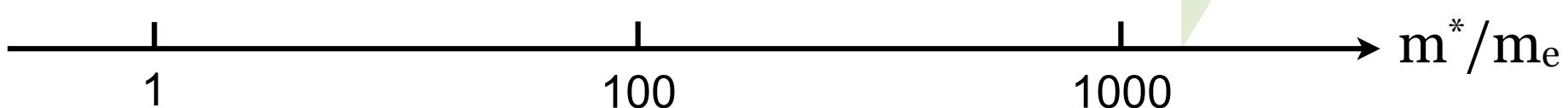
Historic development of heavy fermions

- ✓ CeAl₃ @ 1975 @ $\gamma = 1.62 \text{ J/mol K}^2$
- ✓ Ce, Yb, U, ..., 4f/5f intermetallics



> 50

CeAl₃ ~ 2000



Kondo & Kondo Lattice

PHYSICAL REVIEW B VOLUME 11, NUMBER 1 1 JANUARY 1975

Electronic properties of beryllides of the rare earth and some actinides

E. Bucher,* J. P. Maita, G. W. Hull, R. C. Fulton, and A. S. Cooper
 Bell Laboratories, Murray Hill, New Jersey 07974
 (Received 14 March 1974)

UBe₁₃

We tried to detect any possible magnetic ordering below 1°K. Instead we found a sharp superconducting transition at 0.97°K, which was reduced by about 0.3°K only in a field of 60 kOe. This suggests that the superconductivity is not an intrinsic property of UBe₁₃, but probably linked with precipitated filaments. Subsequent powdering did not shift nor reduce the superconducting signal, although calibration with a Pb cylinder showed that the signal of UBe₁₃ was only about 50% of the expected full signal. From the fact that none of the other MBe₁₃ phases showed superconductivity down to 0.45°K, one is tempted to conclude that the superconductivity and perhaps also the susceptibility tail at low temperature is due to precipitated U filaments.

Z. Physik B 31, 7–17 (1978)

Transport Properties of LaCu₂Si₂ and CeCu₂Si₂ Between 1.5 K and 300 K***

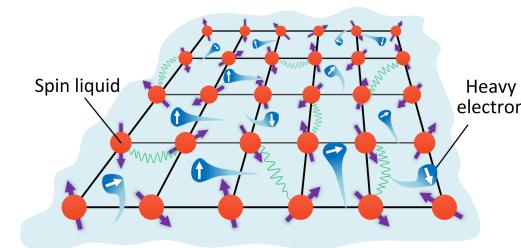
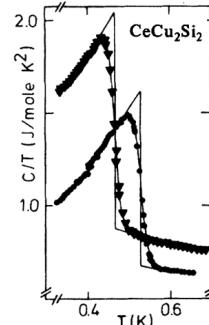
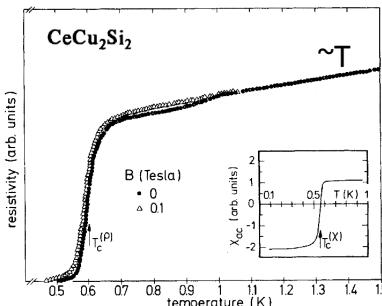
W. Franz, A. Gricel, F. Steglich, and D. Wohleben
 II. Physikalisches Institut der Universität zu Köln, Köln, Fed. Rep. Germany
 Received May 23, 1978

CeCu₂Si₂

* The resistivity measurement of W. Lieke below 1.5 K showed a continuous drop down to about 0.6 K and then a superconducting transition. The superconductivity could be suppressed at 0.3 K by application of a magnetic field of 3 T. At this field the resistivity was 41 $\mu\Omega$ cm and it did not change upon further increase of the field. We therefore consider 41 $\mu\Omega$ cm as representative of the residual resistivity of this sample. In order to check whether the superconductivity was a bulk property of CeCu₂Si₂ or due to a second phase forming a network through grain boundaries the specific heat was measured down to 0.3 K by C.D. Bredl and the static Meissner effect (on bulk and powdered samples) in a SQUID magnetometer by R.F. Hoyt and A.C. Mota down to 30 mK. Both measurements indicate the absence of bulk superconductivity. According to the static Meissner effect less than 0.1 % of the sample volume is superconducting.



(F. Steglich)



Historic development of heavy fermions

- ✓ CeAl₃ $\gamma=1.62 \text{ J/mol K}^2$ @ 1975
- ✓ CeCu₂Si₂ $T_c=0.5 \text{ K}$ @ 1979

PHYSICAL REVIEW LETTERS

17 DECEMBER 1979

Specific Heat in the Presence of Strong Pauli Paramagnetism: CeCu₂Si₂

F. Steglich
 Institut für Festkörperphysik, Technische Hochschule Darmstadt, D-6100 Darmstadt, West Germany

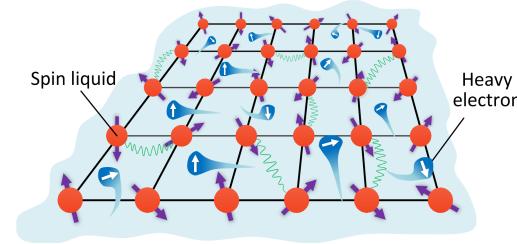
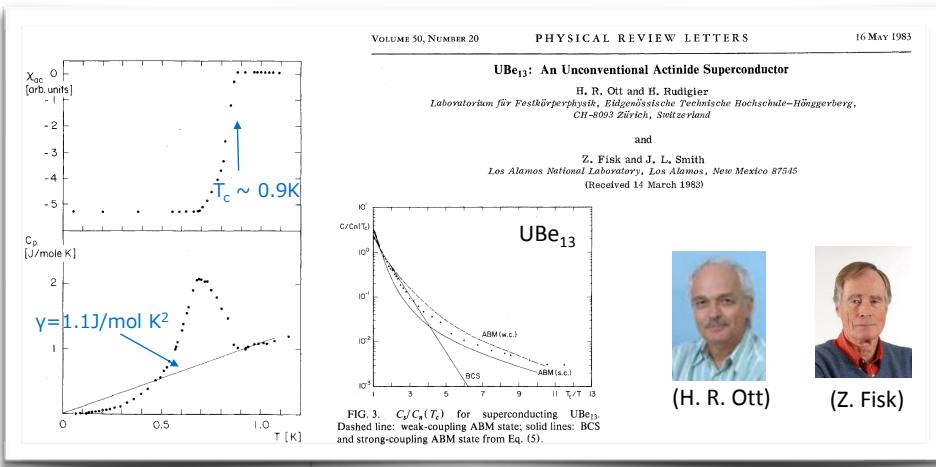
and

J. Aarts, C. D. Bredl, W. Lieke, D. Meschede, and W. Franz
 II. Physikalisches Institut, Universität zu Köln, D-5000 Köln 41, West Germany
 and
 H. Schäfer
 Eduard-Zintl-Institut, Technische Hochschule Darmstadt, D-6100 Darmstadt, West Germany

(Received 10 August 1979; revised manuscript received 7 November 1979)

The size of the specific-heat jump at T_c , in proportion to γT_c , suggests that Cooper-pair states are formed by these heavy fermions. Since the Debye temperature, Θ , is of the order of 200 K,⁵ we find $T_c < T_F < \Theta$ with $T_c/T_F \approx T_F/\Theta \approx 0.05$. This suggests that CeCu₂Si₂ (i) behaves as a “high-temperature superconductor” and (ii) cannot be described by conventional theory of superconductivity which assumes a typical phonon frequency $k_B\Theta/h \ll k_B T_F/h$, the characteristic frequency of the fermions.

Kondo & Kondo Lattice



Historic development of heavy fermions

- ✓ CeAl₃ $\gamma=1.62 \text{ J/mol K}^2$ @ 1975
- ✓ CeCu₂Si₂ $T_c=0.5 \text{ K}$ @ 1979

NUMBER 25 PHYSICAL REVIEW LETTERS 17 DECEMBER 1979

Superconductivity in the Presence of Strong Pauli Paramagnetism: CeCu₂Si₂

F. Steglich
Institut für Festkörperphysik, Technische Hochschule Darmstadt, D-6100 Darmstadt, West Germany

and

J. Aarts, C. D. Bredl, W. Lieke, D. Meschede, and W. Franz
II. Physikalisches Institut, Universität zu Köln, D-5000 Köln 41, West Germany

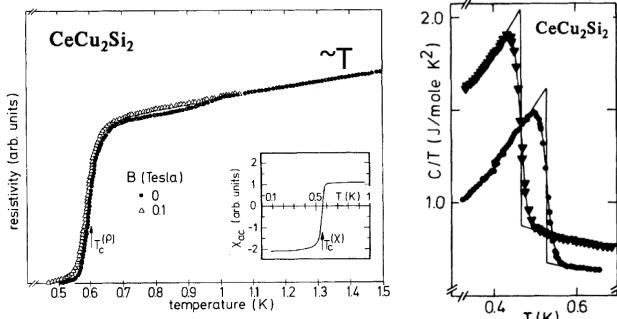
and

H. Schäfer

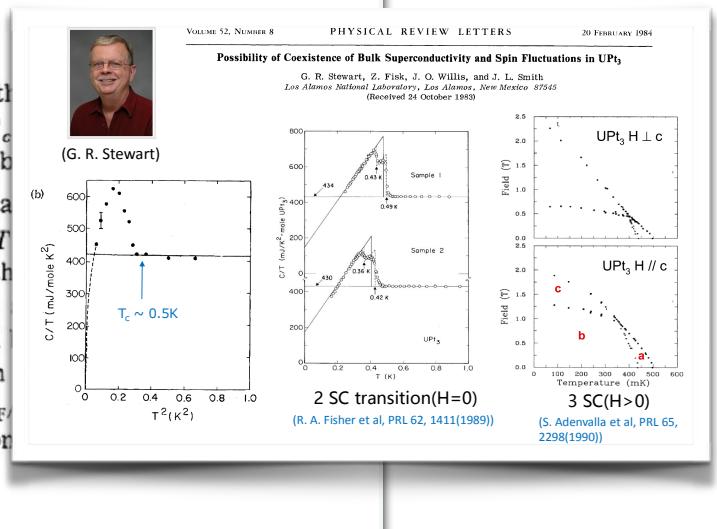
Eduard-Zintl-Institut, Technische Hochschule Darmstadt, D-6100 Darmstadt, West Germany
(Received 10 August 1979; revised manuscript received 7 November 1979)



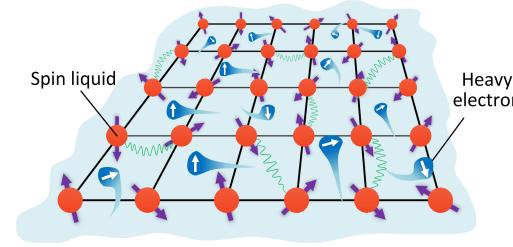
(F. Steglich)



The size of the T_c , in proportion to γT_c , pair states are formed by the Debye temperature of 200 K.⁵ we find $T_c < T_D \approx 0.05$. This suggests that as a ‘high-temperature’ (ii) cannot be described by superconductivity which frequency $k_B\Theta/h \ll k_B T_F$ the frequency of the fermion



Kondo & Kondo Lattice



Historic development of heavy fermions

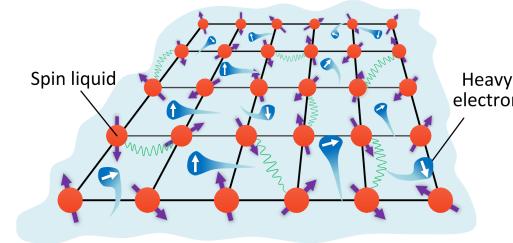
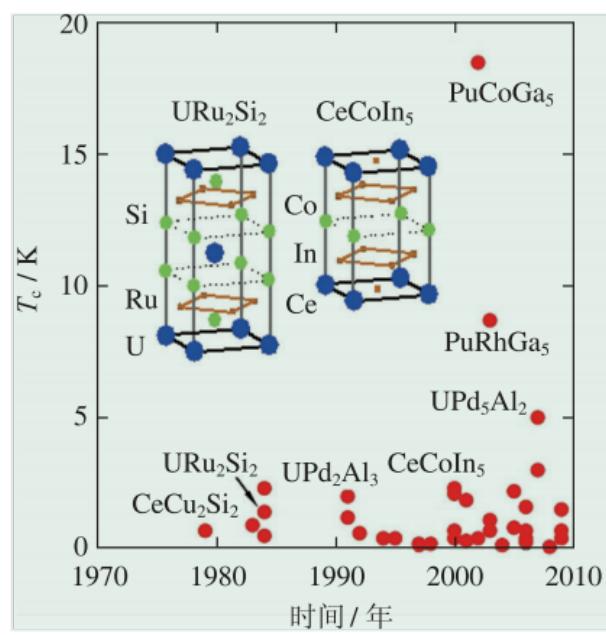
- ✓ $\text{CeAl}_3 \gamma = 1.62 \text{ J/mol K}^2$ @ 1975
- ✓ $\text{CeCu}_2\text{Si}_2 T_c = 0.5 \text{ K}$ @ 1979

Serials	Compounds	T_c (K)	γ	nodes
Ce M_2X_2 (AFM SC)	CeCu ₂ Si ₂	0.6-0.7	1000	No
	CeCu ₂ Ge ₂	0.64(10.1GPa)	200	-
	CePd ₂ Si ₂	0.43 (3GPa)	65	-
	CeRh ₂ Si ₂	0.42 (1.06GPa)	23	-
	CeAu ₂ Si ₂	2.5K(22.5GPa)	-	-
	CeNi ₂ Ge ₂	0.3	350	-
Ce _n M _m In _{3n+2m} (AFM SC)	CeIn ₃	0.23 (2.46GPa)	140	Line
	CelrIn ₅	0.4	750	Line
	CeCoIn ₅	2.3	250	Line
	CeRhIn ₅	2.4 (2.3GPa)	430	-
	CePt ₂ In ₇	2.3 (3.1GPa)	340	-
	Ce ₂ RhIn ₈	2.0 (2.3GPa)	400	-
	Ce ₂ PdIn ₈	0.68	550	Line
	Ce ₂ CoIn ₈	0.4	500	-
	Ce ₃ PdIn ₁₁	0.42	290	-
Ce-based non-centresymmetric SC	CePt ₃ Si	0.75	390	Line
	CelrSi ₃	1.65 (2.5GPa)	120	-
	CeRhSi ₃	1.0 (2.6GPa)	120	-
	CeCoGe ₃	0.69 (6.5GPa)	32	-
Other Ce-based AFM SC	CeNiGe ₃	0.43 (6.8GPa)	45	-
	Ce ₂ Ni ₃ Ge ₅	0.26 (4.0GPa)	90	-
	CePd ₅ Al ₂	0.57 (10.8GPa)	56	-

Serials	Compounds	T_c (K)	γ	nodes
U-based AFM SC	UPd ₂ Al ₃	2.0	210	Line
	UNi ₂ Al ₃	1.06	120	-
	UBe ₁₃	0.95	1000	No
	U ₆ Fe	3.8	157	-
	UPt ₃	0.53, 0.48	440	Line, Point
U-based FM SC	UGe ₂	0.8 (~1.2GPa)	34	Line
	URhGe	0.3	164	-
	UCoGe	0.6	57	Point
	UIr	0.15 (~2.6GPa)	49	-
	U ₂ PtC ₂	1.47	150	-
Hidden order SC	URu ₂ Si ₂	1.5	70	Line
Pr-based SC	PrOs ₄ Sb ₁₂	1.85	500	点
	PrTi ₂ Al ₂₀	0.2, 1.1(8.7GPa)	100	-
	PrV ₂ Al ₂₀	0.05	90	-
Pu-based SC (Pu-115)	PuCoGa ₅	18.5	77	Line
	PuCoIn ₅	2.5	200	Line
	PuRhGa ₅	8.7	70	Line
	PuRhIn ₅	1.6	350	Line
Np-based SC	NpPd ₅ Al ₂	4.9	200	Point
Yb-based SC	β -YbAlB ₄	0.08	150	-
	YbRh ₂ Si ₂	0.002	-	-

Kondo & Kondo Lattice

Serials	Compound	T_c / K	
Ce M_2X_2 (AFM SC)	CeCu ₂ Si ₂	0.5	
	CeCu ₂ Ge ₂	0.26	
	CePd ₂ Si ₂	0.43	
	CeRh ₂ Si ₂	0.26	
	CeAu ₂ Si ₂	0.26	
	CeNi ₂ Ge ₂	0.26	
$Ce_nM_mIn_{3n+2m}$ (AFM SC)	CeIn ₃	0.4	
	CelrIn ₅	0.4	750
	CeCoIn ₅	2.3	250
	CeRhIn ₅	2.4 (2.3GPa)	430
	CePt ₂ In ₇	2.3 (3.1GPa)	340
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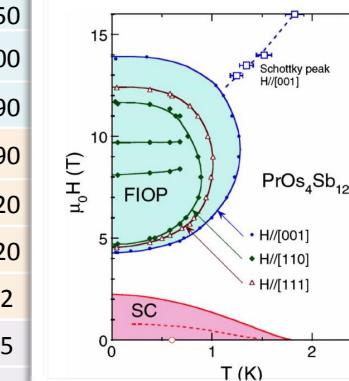
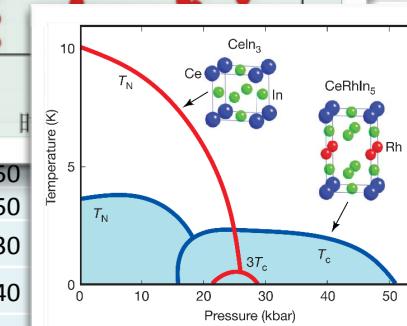
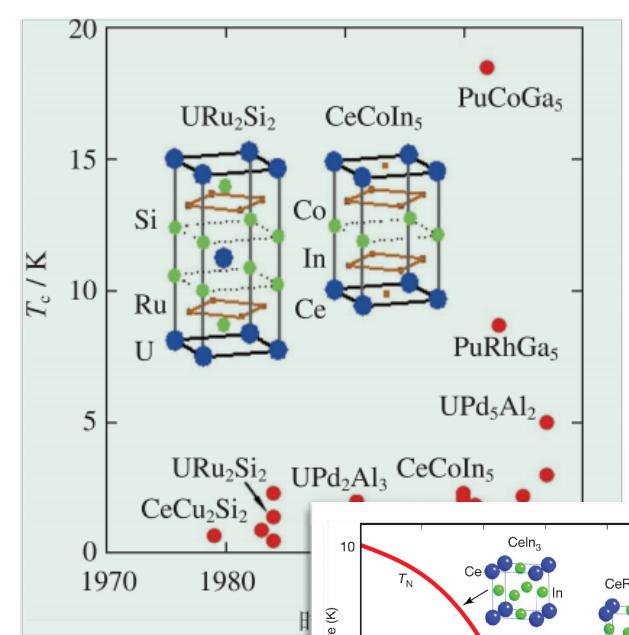
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	PrTi ₂ Al ₂₀	0.2, 1.1(8.7GPa)	100	-
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	YbRh ₂ Si ₂	0.002	-	-

Kondo & Kondo Lattice

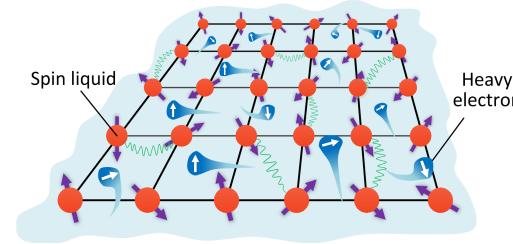
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	CeNi ₂ Ge ₂
Ce _n M _m In _{3n+2m} (AFM SC)	CeIn ₃
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	CeRhIn ₅
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Other Ce-based AFM SC	CeNiGe ₃
	Ce ₂ Ni ₃ Ge ₅
	CePd ₅ Al ₂



Yb-based SC

β -YbAlB₄ 0.08 -

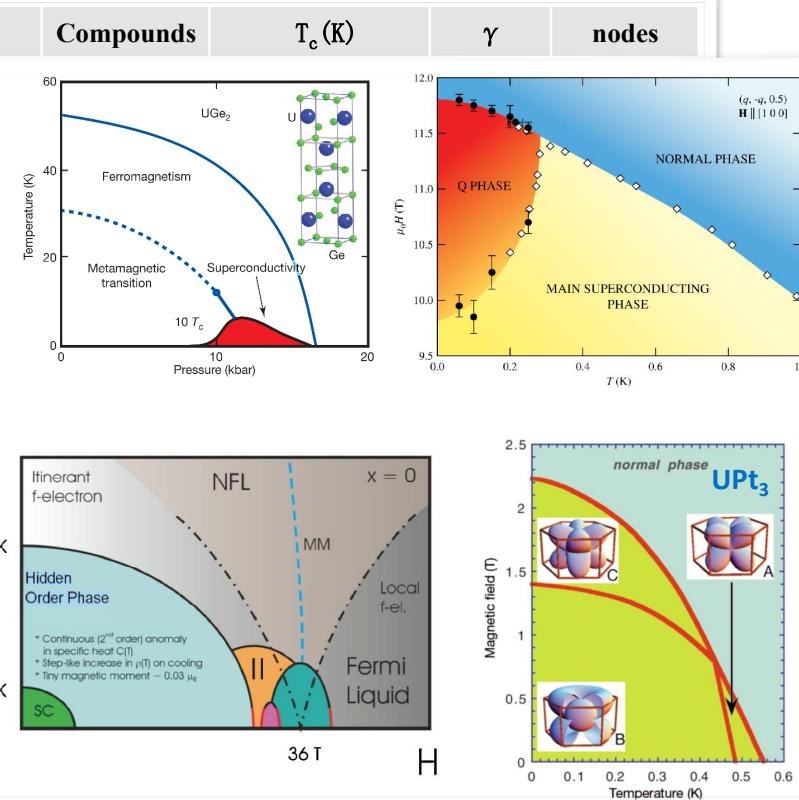
YbRh₂Si₂ 0.002 -



Historic development of heavy fermions

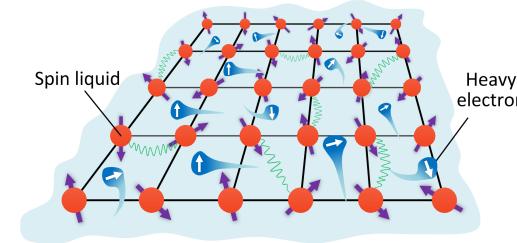
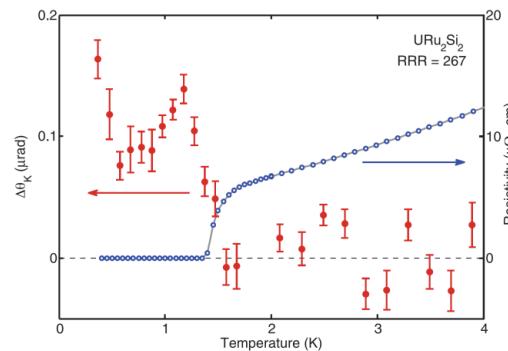
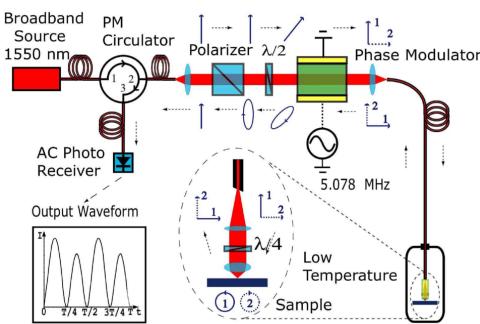
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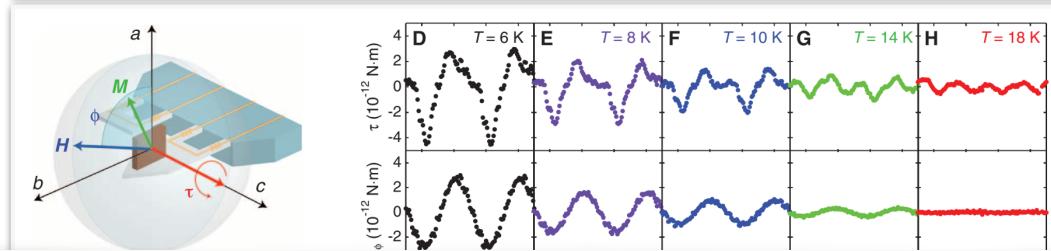
Kondo & Kondo Lattice

Polar Kerr effect



Historic development of heavy fermions

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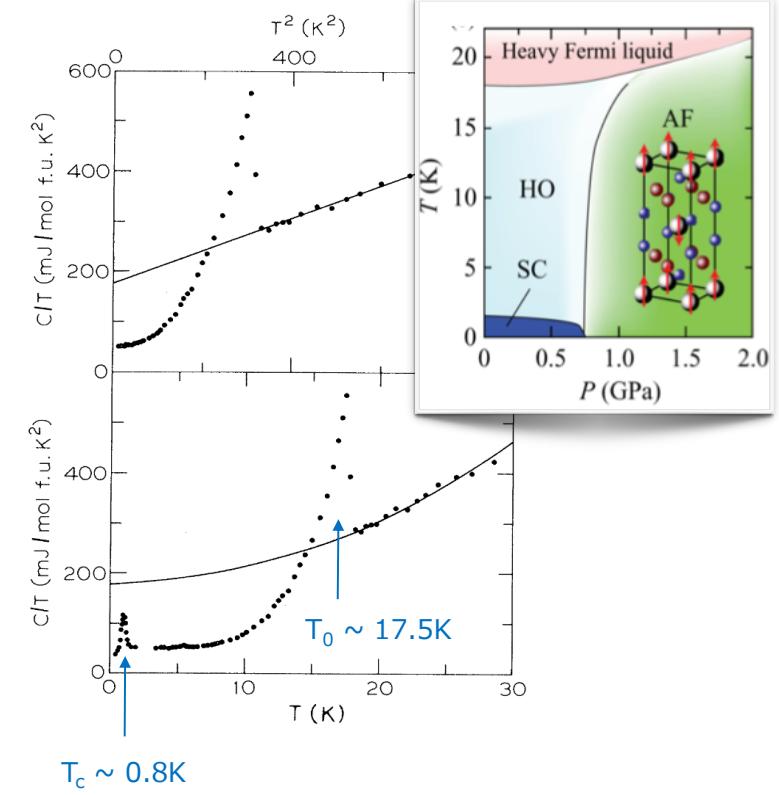


Theories (recent contribution)

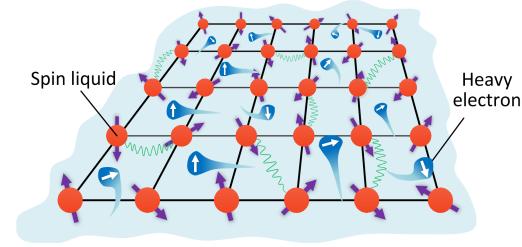
Barzykin and Gorkov (1995)	three-spin correlations [45]
Kasuya (1997)	uranium dimerisation [46]
Ikeda and Ohashi (1998)	<i>d</i> -spin density wave [47]
Okuno and Miyake (1998)	CEF and quantum fluctuations [48]
Chandra et al. (2002)	orbital currents [49]
Viroszek et al. (2002)	unconv. spin density wave [50]
Mineev and Zhitomirsky (2005)	staggered spin density wave [51]
Varma and Zhu (2006)	helicity (Pomeranchuk) order [52]
Elgazzar et al. (2009)	dynamical symmetry breaking [53]
Kotetes et al. (2010)	chiral <i>d</i> -density wave [54]
Dubi and Balatsky (2011)	hybridization wave [55]
Pepin et al. (2011)	modulated spin liquid [56]
Fujimoto (2011)	spin nematic order [57]
Riseborough et al. (2012)	unconv. spin-orbital density wave [58]
Das (2012)	spin-orbital density wave [59]
Chandra et al. (2013)	hastatic order [60]
Hsu and Chakravarty (2013)	singlet-triplet <i>d</i> -density wave [61]

Proposals of multipole magnetic ordering for HO

Nieuwenhuys (1987)	dipole (2^1) order [62]
Santini and Amoretti (1994)	quadrupolar (2^2) order [63]
Kiss and Fazekas (2005)	octupolar (2^3) order [64]
Hanzawa and Watanabe (2005)	octupolar order [65]
Hanzawa (2007)	incommensurate octupole [66]
Haule and Kotliar (2009)	hexadecapolar (2^4) order [67]
Cricchio et al. (2009)	dotriacontapolar (2^5) order [68]
Harima et al. (2010)	antiferro quadrupolar order [69]
Thalmeier and Takimoto (2011)	$E(1,1)$ -type quadrupole [70]
Kusunose and Harima (2011)	antiferro hexadecapole [71]
Ikeda et al. (2012)	E^- -type dotriacontapole [72]
Rau and Kee (2012)	E -type dotriacontapole [73]
Ressouche et al. (2012)	dotriacontapolar order [16]

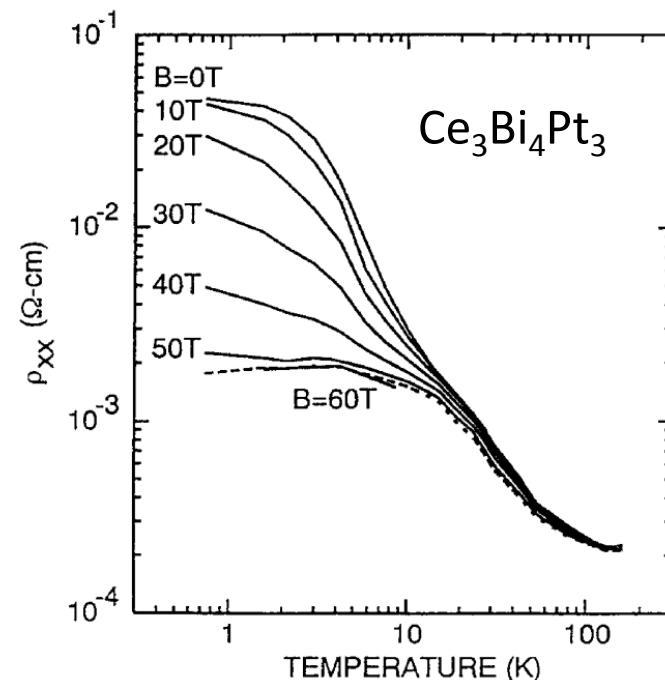


Kondo & Kondo Lattice

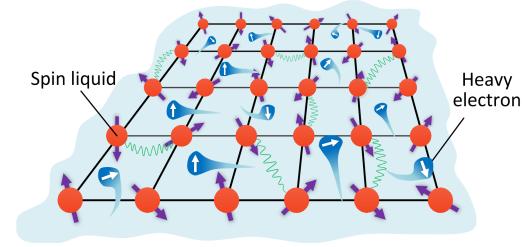


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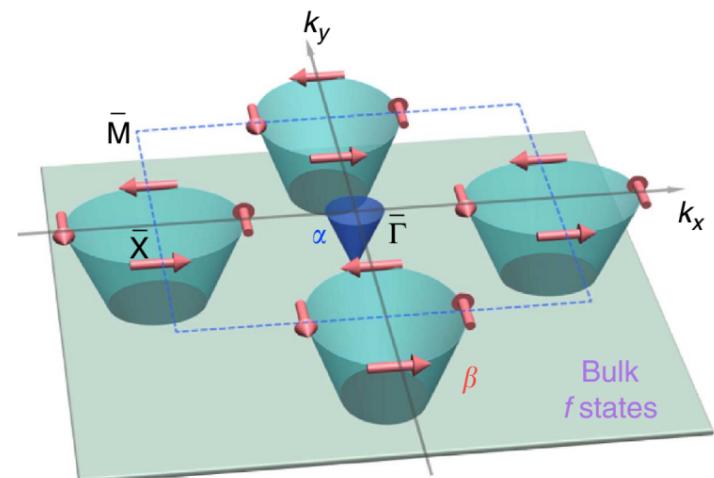


Kondo & Kondo Lattice

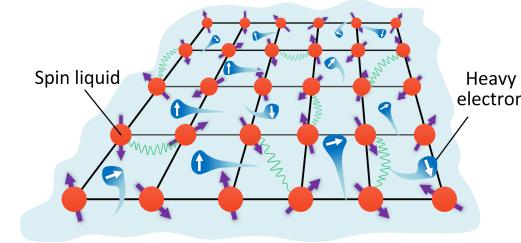
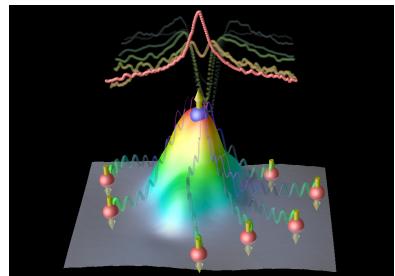


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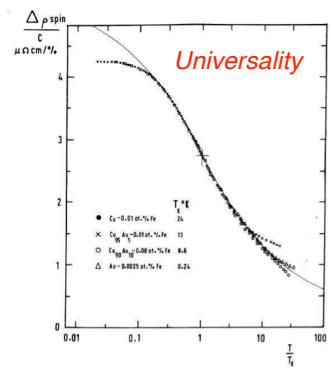
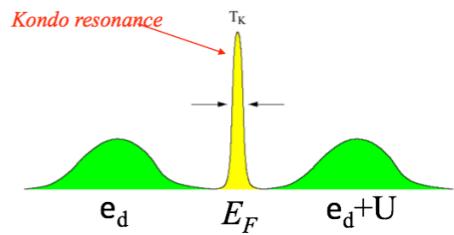


Kondo & Kondo Lattice



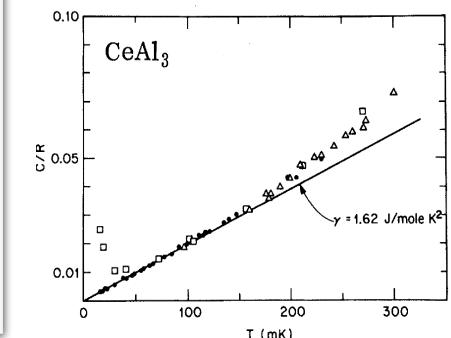
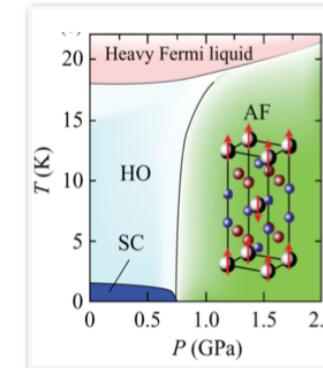
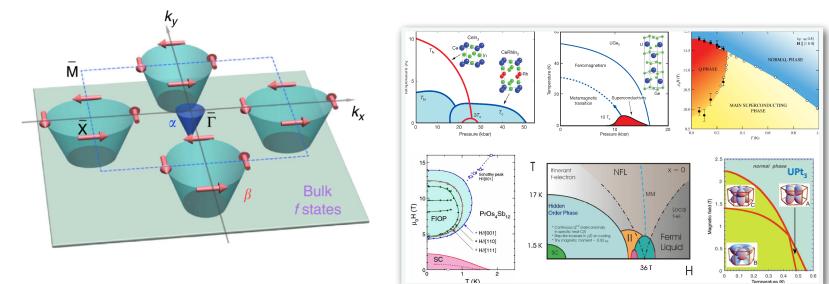
Historic development of Kondo

- ✓ (19th Century) Electron behavior @ $T \rightarrow 0$
- ✓ Liquification & Resistance measurement
- ✓ 1911-1957 Superconductivity **EXP → THEO**
- ✓ 1934-1964 Kondo effect **EXP → THEO**
- ✓ Many approaches: EOM, NRG, QMC ...

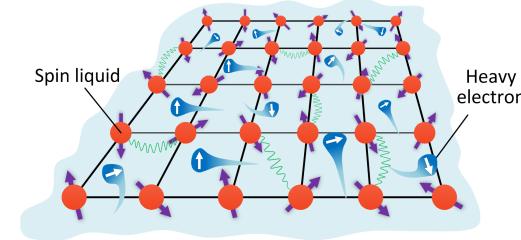
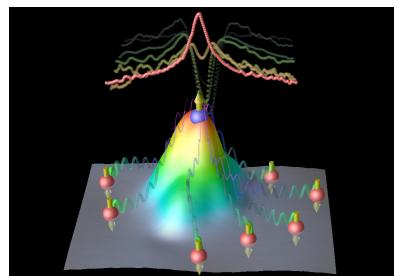


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Kondo & Kondo Lattice



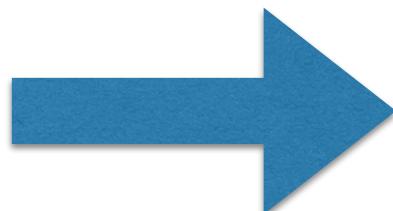
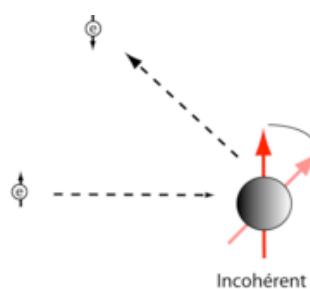
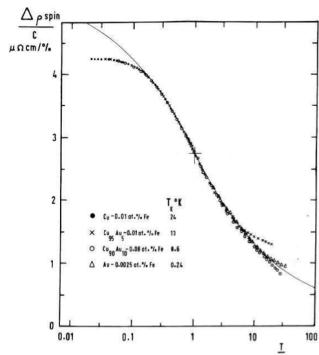
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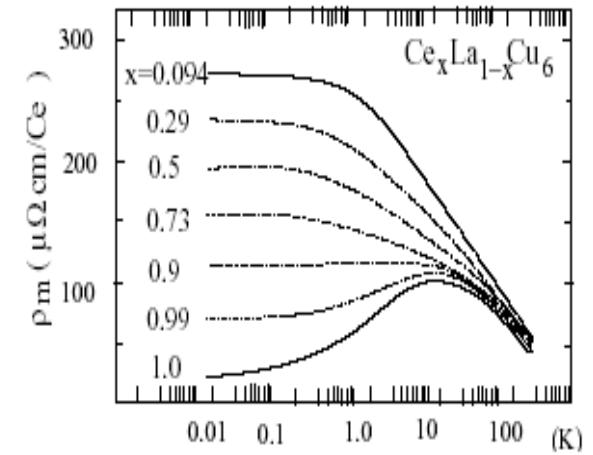
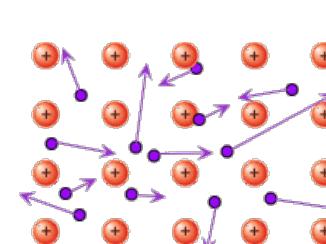
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Incoherent Kondo Scattering above T_K

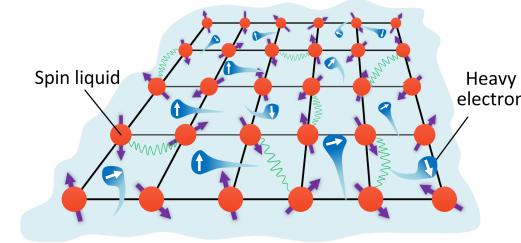
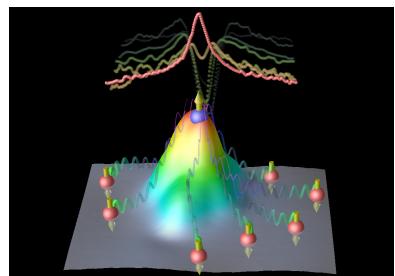


Ce: Ground state doublet

Incoherent Kondo Scattering above T^*



Kondo & Kondo Lattice



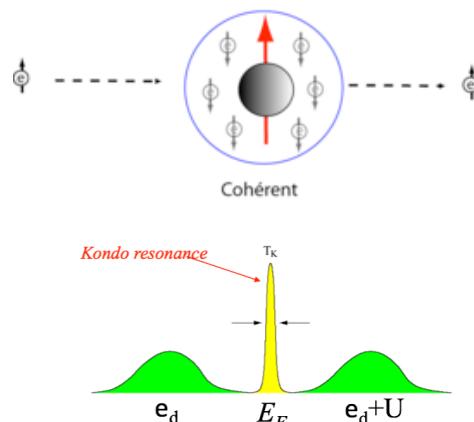
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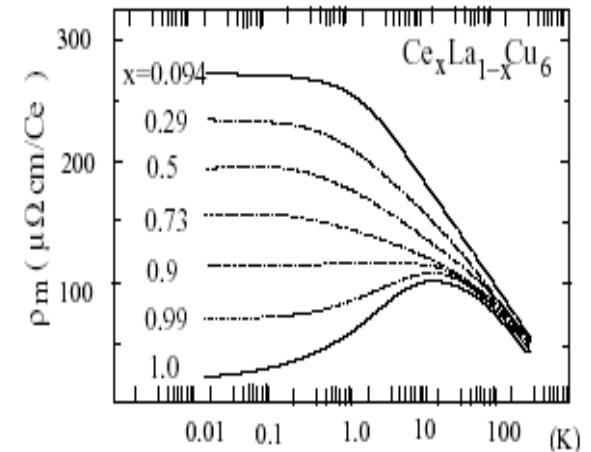
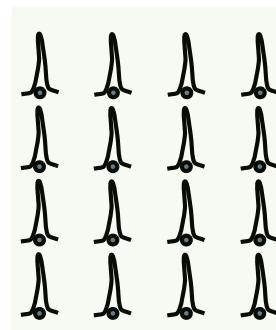
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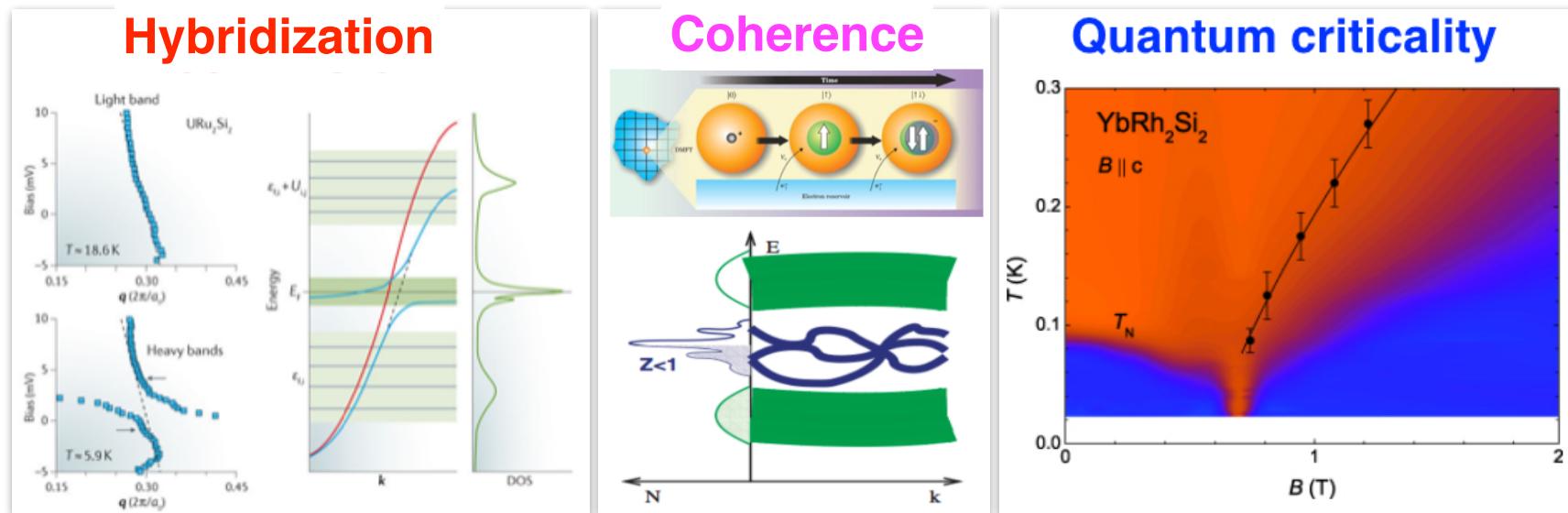
Coherent Kondo Screening below T_K



Coherent behavior below T^*



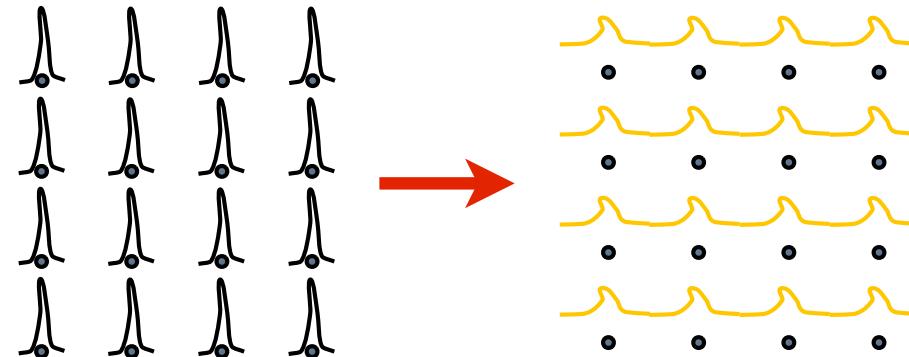
二、重费米子物理的概念问题



Mean-field theory & DMFT

● Mean-field theory & Hybridization

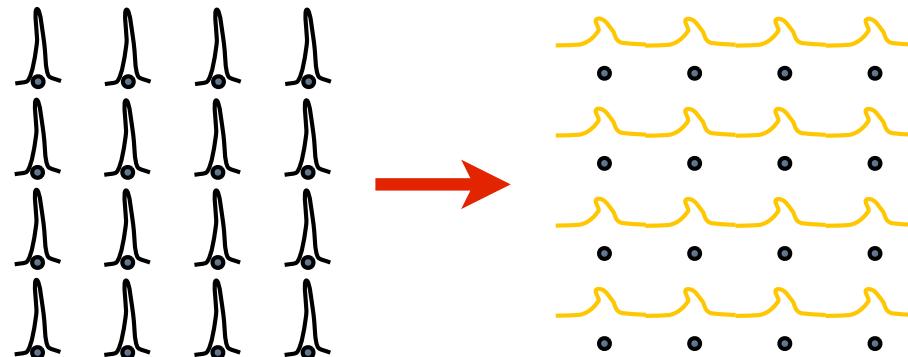
✓ Lattice coherence @ $T < T^*$



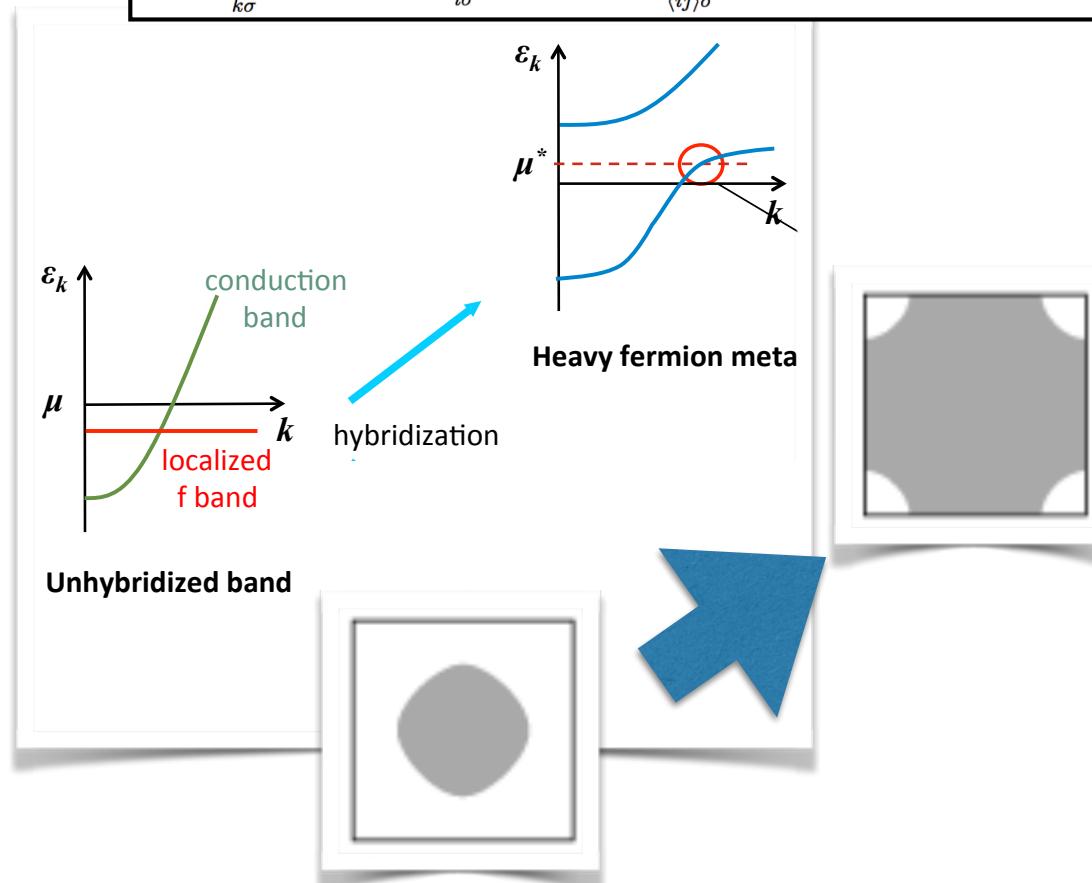
Mean-field theory & DMFT

Mean-field theory & Hybridization

- ✓ Lattice coherence @ $T < T^*$
- ✓ Hybridization & FS change



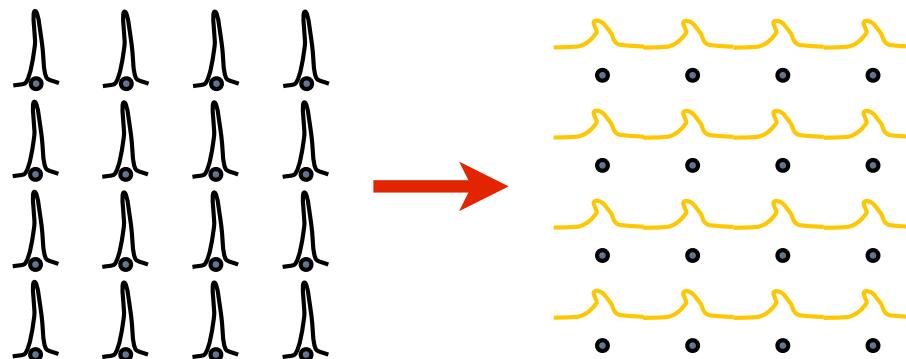
$$H = \sum_{\vec{k}\sigma} \epsilon_{\vec{c}\vec{k}} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} + \lambda \sum_{i\sigma} f_{i\sigma}^\dagger f_{i\sigma} + \frac{J_H}{2} \chi \sum_{\langle ij \rangle \sigma} (f_{i\sigma}^\dagger f_{j\sigma} + f_{j\sigma}^\dagger f_{i\sigma}) - N\lambda + NJ_H\chi^2$$



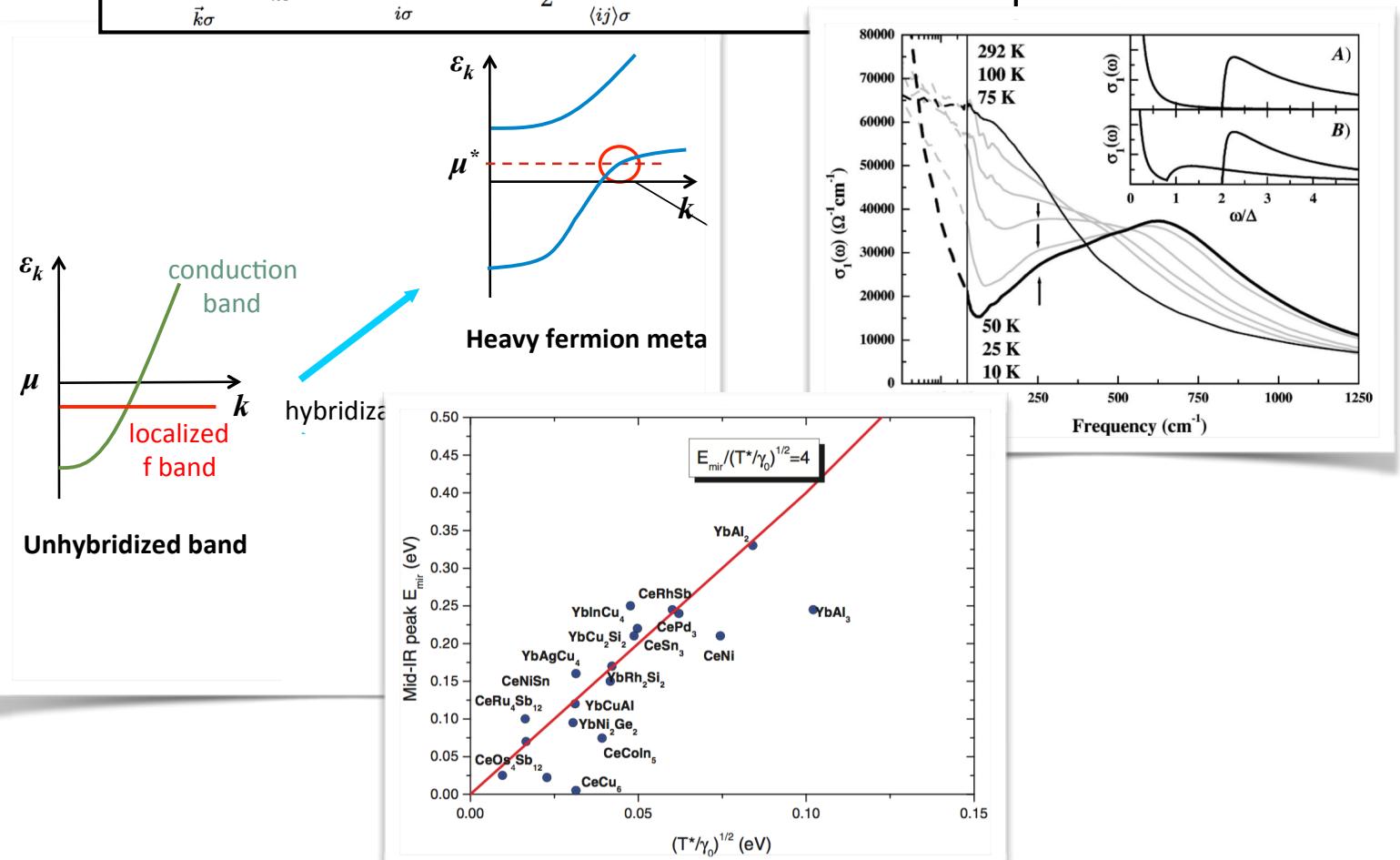
Mean-field theory & DMFT

Mean-field theory & Hybridization

- ✓ Lattice coherence @ $T < T^*$
- ✓ Hybridization & FS change
- ✓ Optical & Hybridization gap



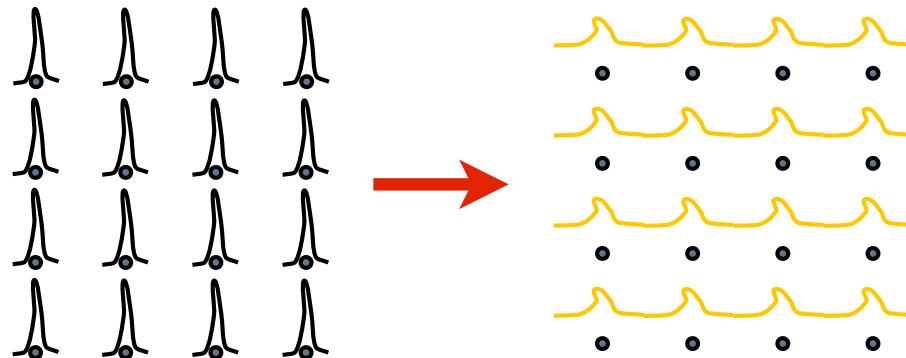
$$H = \sum_{\vec{k}\sigma} \epsilon_{\vec{c}\vec{k}} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} + \lambda \sum_{i\sigma} f_{i\sigma}^\dagger f_{i\sigma} + \frac{J_H}{2} \chi \sum_{\langle ij \rangle \sigma} (f_{i\sigma}^\dagger f_{j\sigma} + f_{j\sigma}^\dagger f_{i\sigma}) - N\lambda + NJ_H\chi^2$$



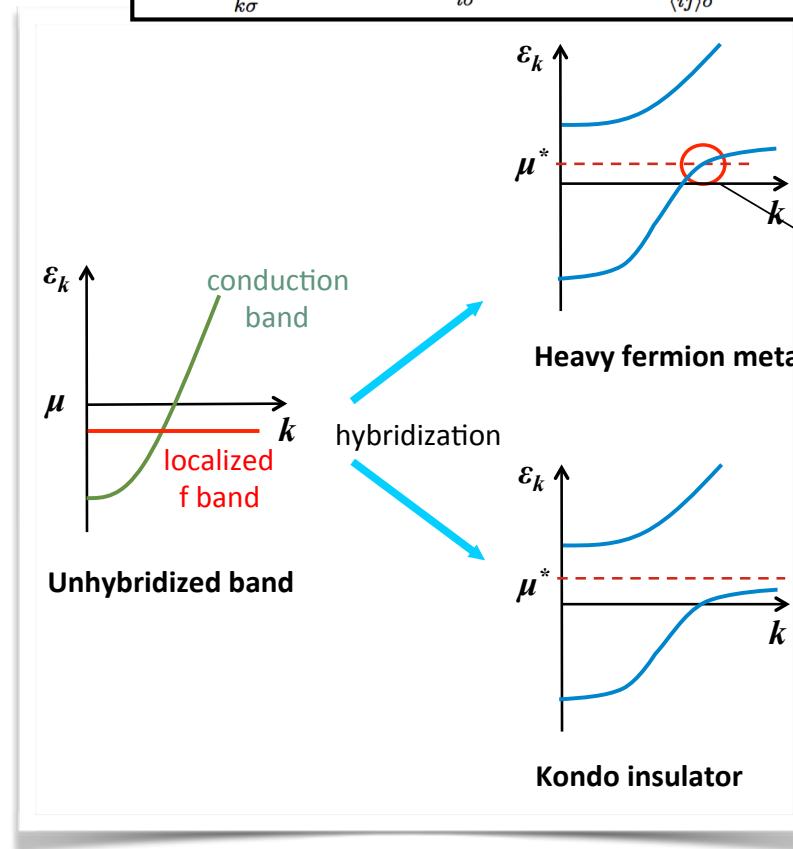
Mean-field theory & DMFT

Mean-field theory & Hybridization

- ✓ Lattice coherence @ $T < T^*$
- ✓ Hybridization & FS change
- ✓ Optical & Hybridization gap
- ✓ Kondo insulator



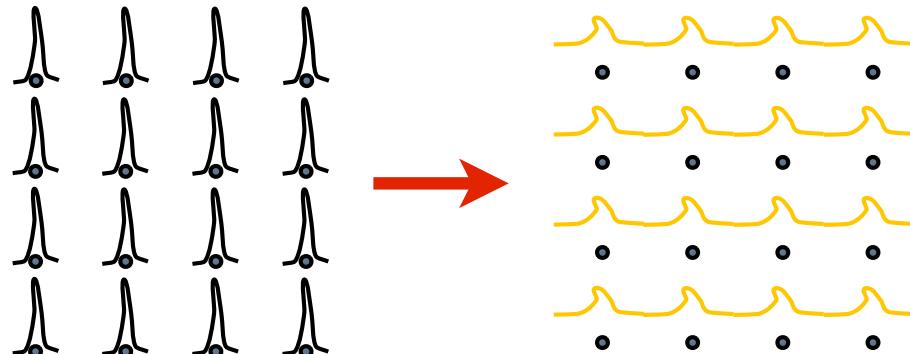
$$H = \sum_{\vec{k}\sigma} \epsilon_{\vec{c}\vec{k}} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} + \lambda \sum_{i\sigma} f_{i\sigma}^\dagger f_{i\sigma} + \frac{J_H}{2} \chi \sum_{\langle ij \rangle \sigma} (f_{i\sigma}^\dagger f_{j\sigma} + f_{j\sigma}^\dagger f_{i\sigma}) - N\lambda + NJ_H\chi^2$$



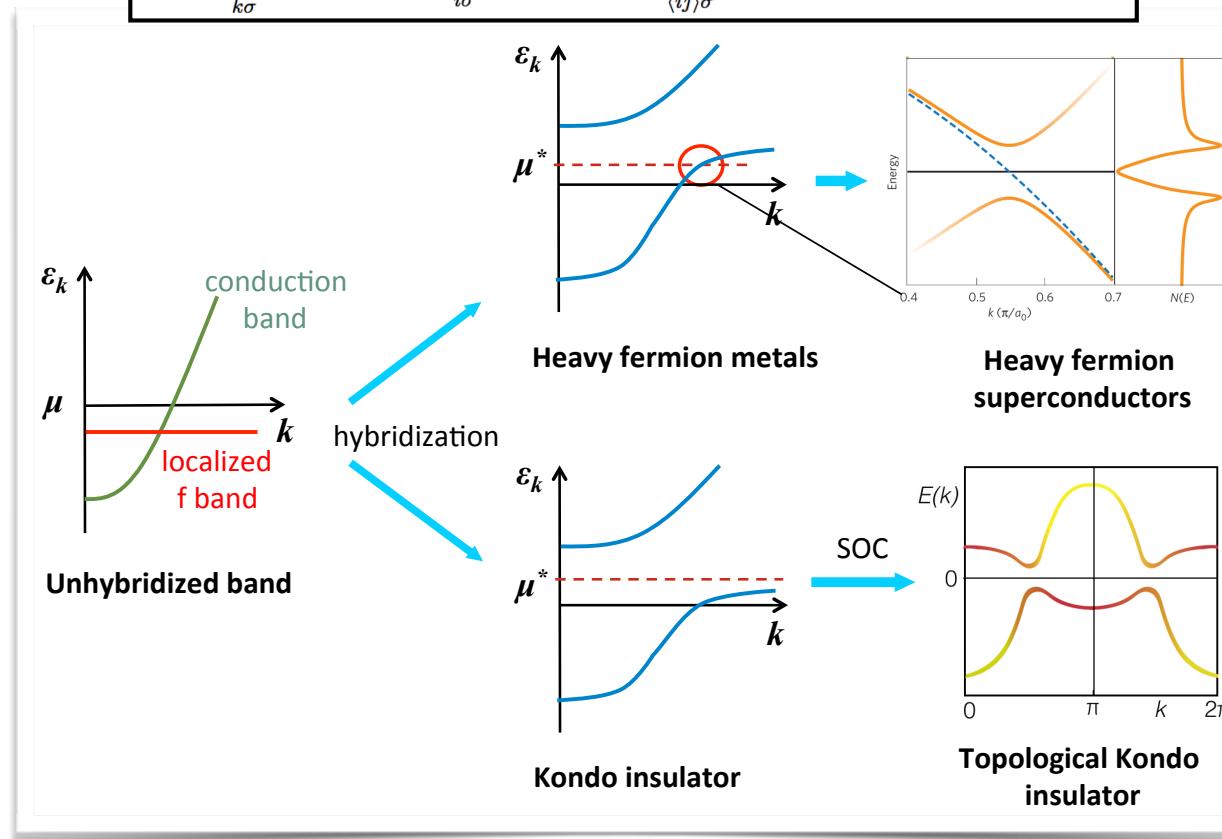
Mean-field theory & DMFT

Mean-field theory & Hybridization

- ✓ Lattice coherence @ $T < T^*$
- ✓ Hybridization & FS change
- ✓ Optical & Hybridization gap
- ✓ Ground state: KI & SC & TKI & SL ...



$$H = \sum_{\vec{k}\sigma} \epsilon_{\vec{c}\vec{k}} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} + \lambda \sum_{i\sigma} f_{i\sigma}^\dagger f_{i\sigma} + \frac{J_H}{2} \chi \sum_{\langle ij \rangle \sigma} (f_{i\sigma}^\dagger f_{j\sigma} + f_{j\sigma}^\dagger f_{i\sigma}) - N\lambda + NJ_H\chi^2$$



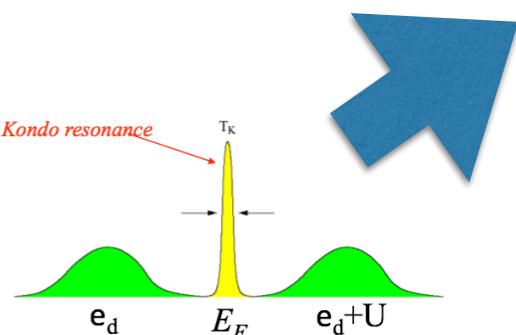
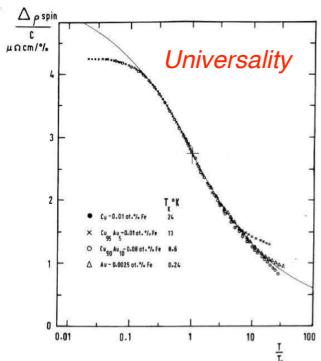
Static Hybridization, only below T^*

Mean-field theory & DMFT

● Mean-field theory & Hybridization

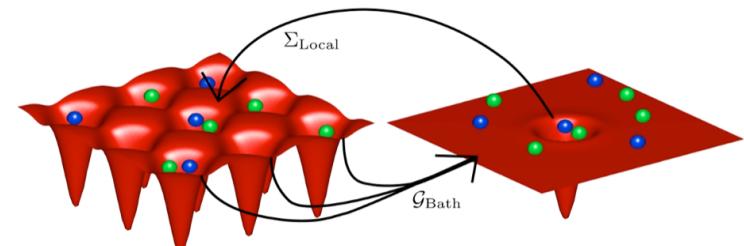
- ✓ Lattice coherence @ $T < T^*$
- ✓ Hybridization & FS change
- ✓ Optical & Hybridization gap
- ✓ Ground state: KI & SC & TKI & SL ...

No long range magnetic correlations
Hard to describe quantum criticality

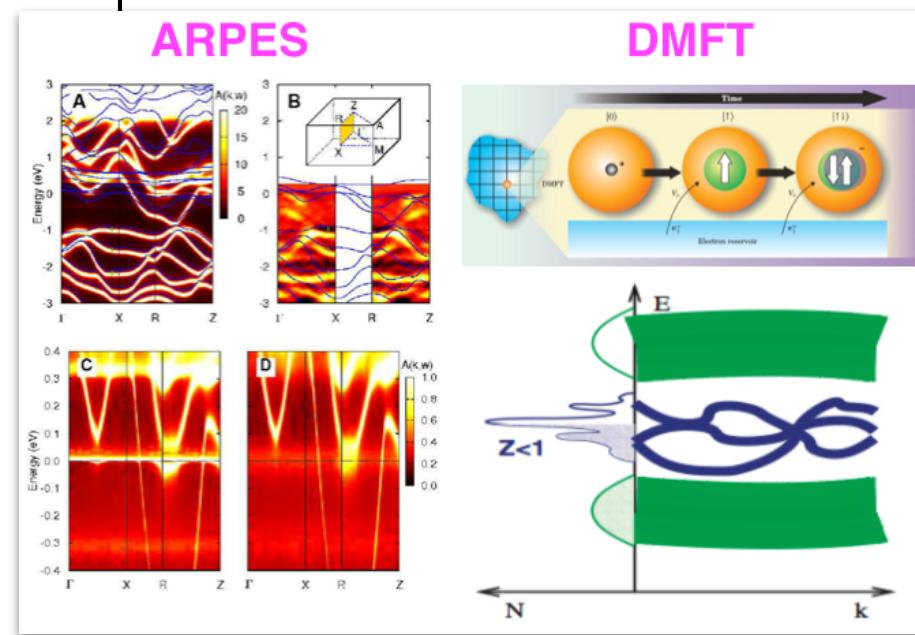


● DMFT & Coherence/Duality

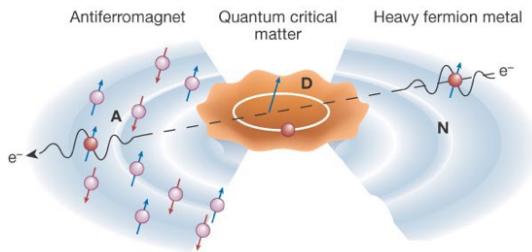
- ✓ Dynamic fluctuations @ $T > T^*$
- ✓ Local/Itinerant crossover @ $T < T^*$
- ✓ Development of coherence @ $T < T^*$
- ✓ Short range correlation (*Extended*)



Dynamical mean-field theory



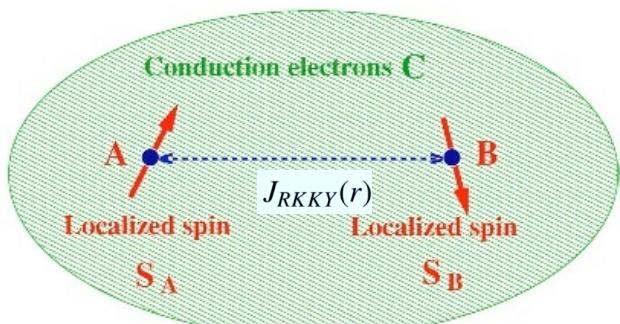
Quantum criticality & Non-Fermi liquid



Quantum criticality @ low T

✓ RKKY & Magnetism

RKKY interaction



$$H_{RKKY} = \frac{1}{2} \sum_{\mathbf{x}, \mathbf{x}'} -J^2 \chi(\mathbf{x} - \mathbf{x}') \vec{S}(\mathbf{x}) \cdot \vec{S}(\mathbf{x}'),$$

$$J_{RKKY}(r) \sim J^2 \rho \frac{\cos 2k_F r}{|r|^3}$$



(S. Doniach)

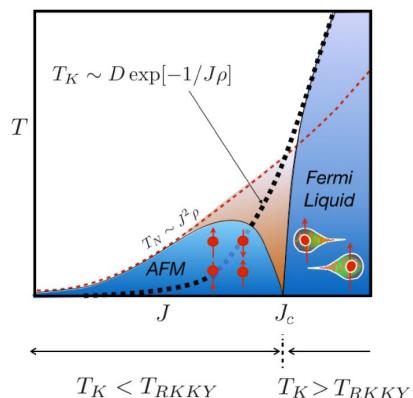
Physica 91B (1977) 231–234 © North-Holland

THE KONDO LATTICE AND WEAK ANTFERROMAGNETISM

S. DONIACH*

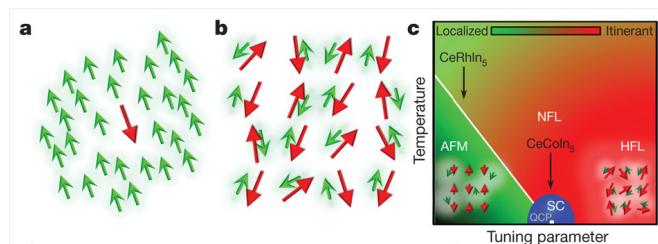
Department of Applied Physics, Stanford University, California 94305, USA

By considering a one-dimensional analog of a system of conduction electrons exchange coupled to a localized spin in each cell of a lattice, it is suggested that a second-order transition from an antiferromagnetic to a Kondo spin-compensated ground state will occur as the exchange coupling constant J is increased to a critical value J_c . For systems in which $J \leq J_c$, a very weak sublattice magnetization may occur as a result of nearly complete spin-compensation.



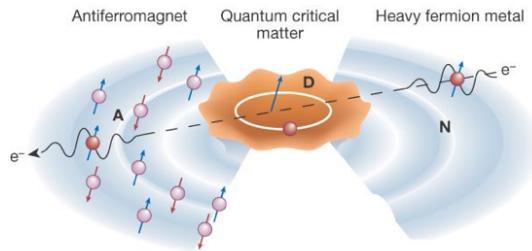
RKKY

FIGURE 12. Illustrating how the polarization of spin around a magnetic impurity gives rise to Friedel oscillations and induces an RKKY interaction between the spins



$$H_{KL} = J_H \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + J_K \sum_i \mathbf{s}_i \cdot \mathbf{S}_i + \sum_{k\sigma} \epsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma}$$

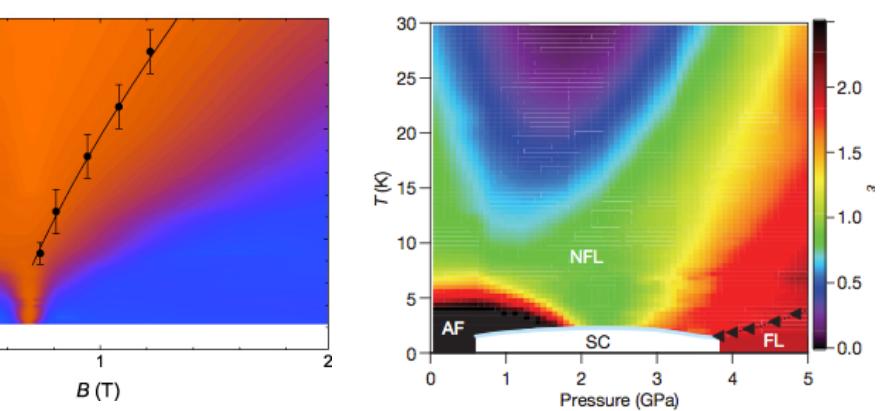
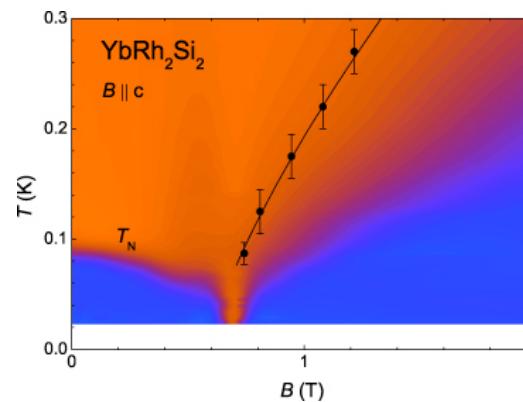
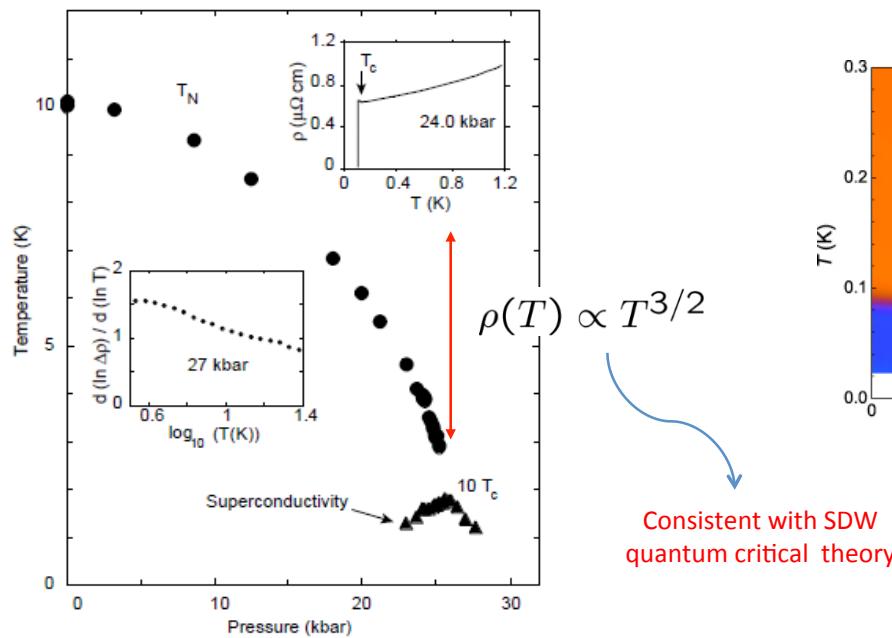
Quantum criticality & Non-Fermi liquid



Quantum criticality @ low T

- ✓ RKKY & Magnetism
- ✓ SDW scenario (Hertz/Millis/Moriya)

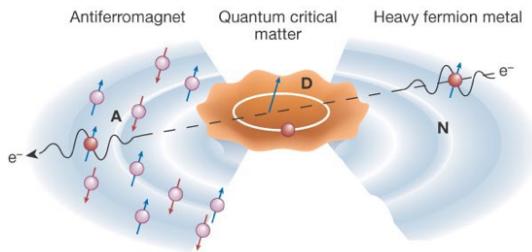
- Power-law scaling in resistivity, specific heat, susceptibility
- Peak at finite wave vector in dynamic susceptibility
- No ω/T scaling ...



The SDW predictions are violated

Long-wavelength Spin density wave (SDW) fluctuations

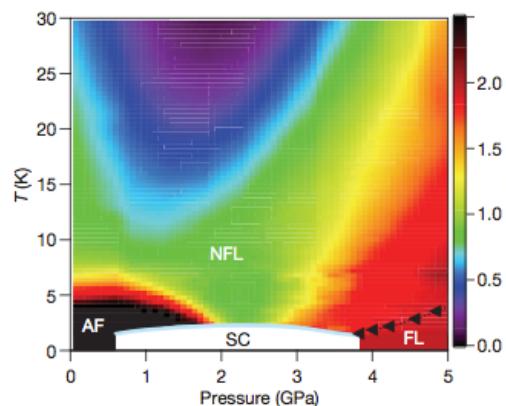
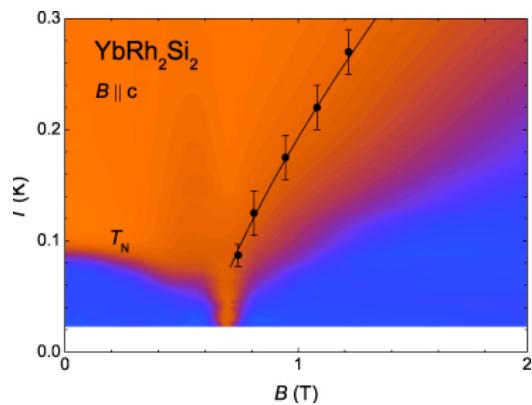
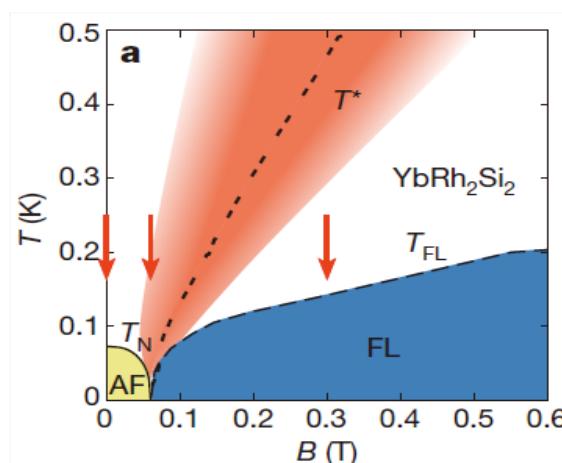
Quantum criticality & Non-Fermi liquid



Quantum criticality @ low T

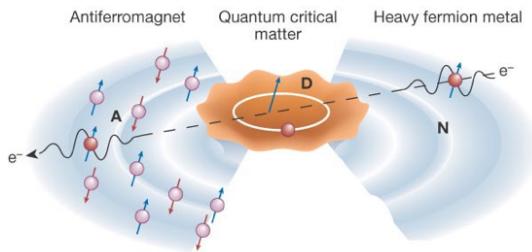
- ✓ RKKY & Magnetism
- ✓ SDW scenario (Hertz/Millis/Moriya)
- ✓ Kondo breakdown @2001

AFM quantum phase transition
accompanied with
suppression of Kondo screening
(Fermi surface change)

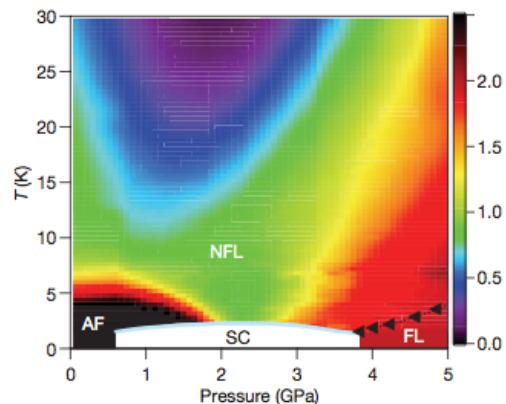
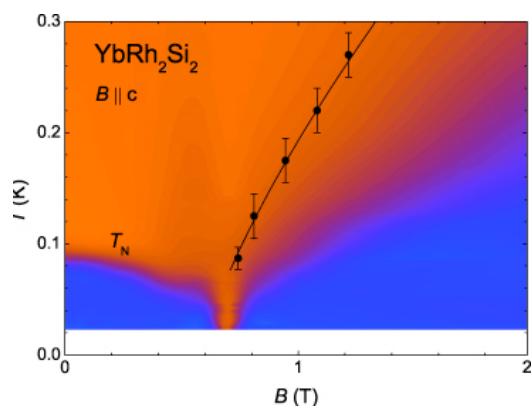
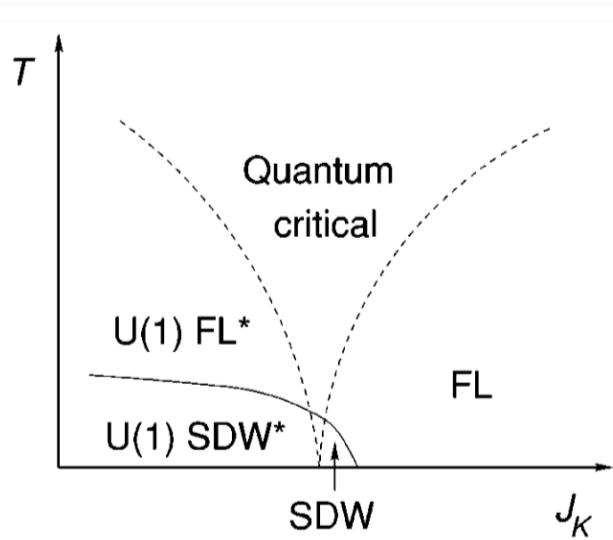


The SDW predictions are violated

Quantum criticality & Non-Fermi liquid

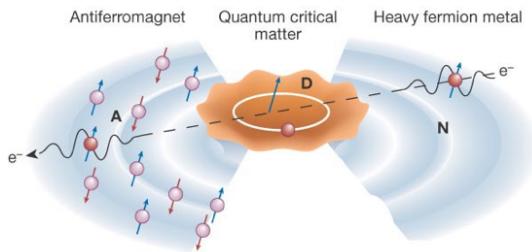


Fractionalized excitations near QCP
spinon/spinon SDW ...



The SDW predictions are violated

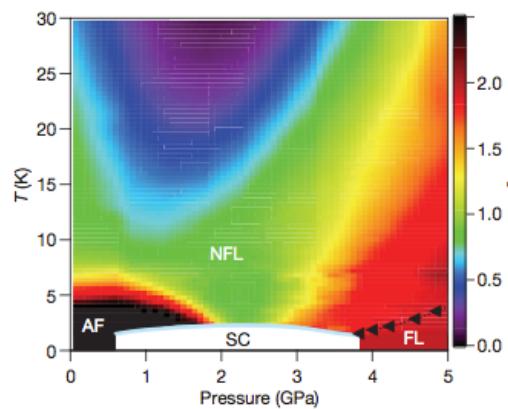
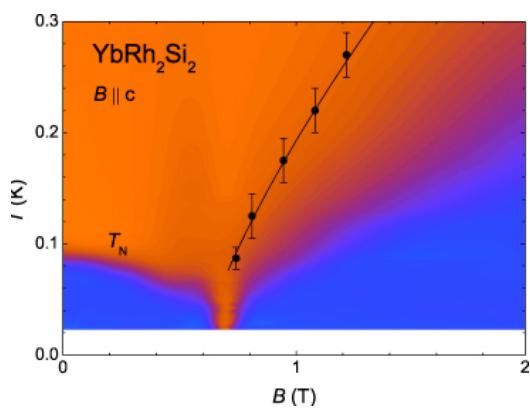
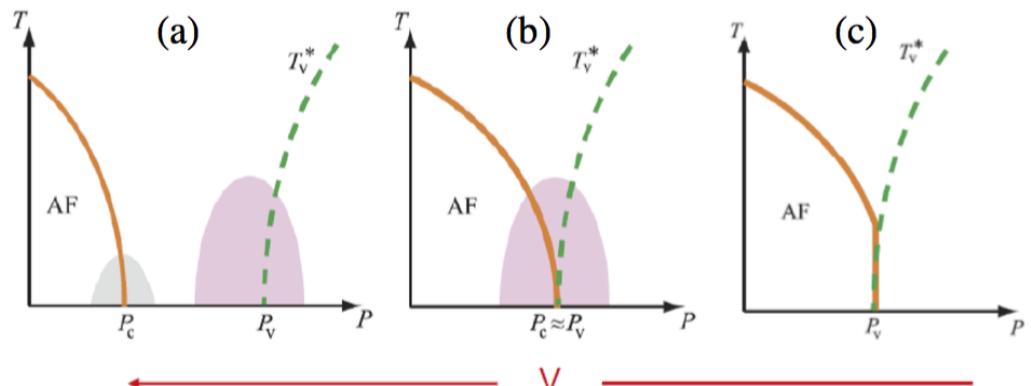
Quantum criticality & Non-Fermi liquid



Quantum criticality @ low T

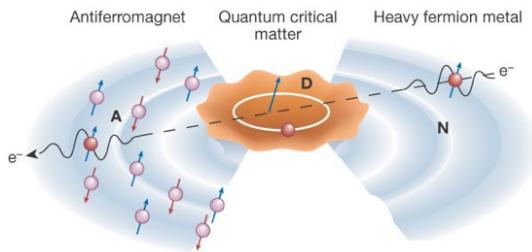
- ✓ RKKY & Magnetism
- ✓ SDW scenario (Hertz/Millis/Moriya)
- ✓ Kondo breakdown @2001
- ✓ Fractionalization @2004
- ✓ Critical valence fluctuations @2010

Valence transition & abrupt FS change
with hybridization on both sides



The SDW predictions are violated

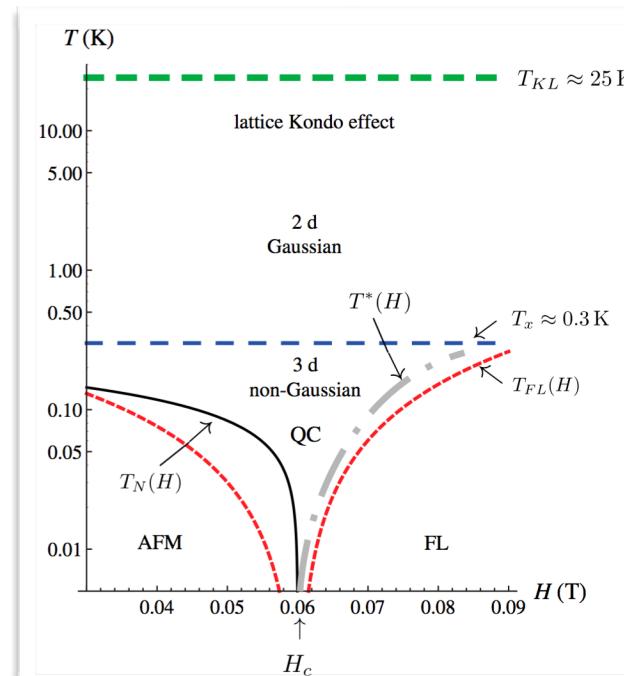
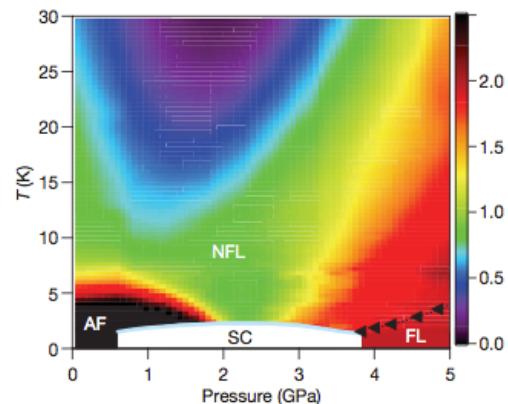
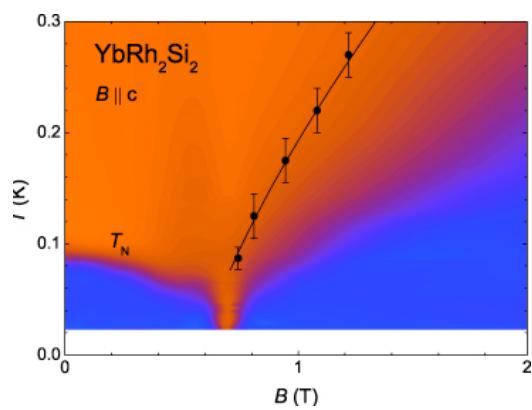
Quantum criticality & Non-Fermi liquid



Heavy quasiparticles still exist
but become critical: $N(E) \sim |E|^\alpha$

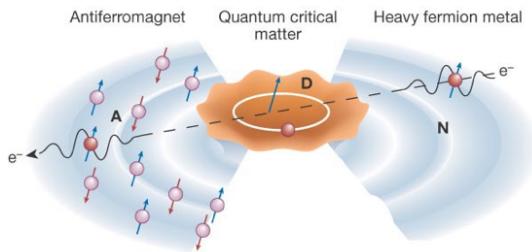
Quantum criticality @ low T

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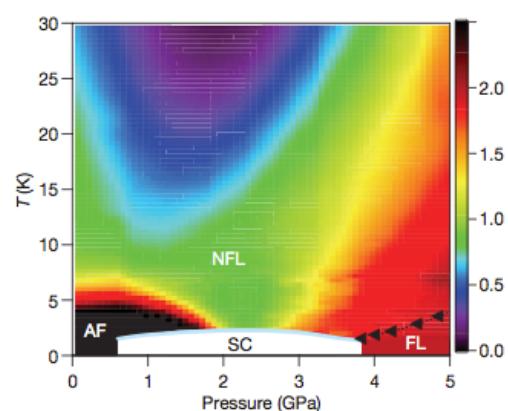
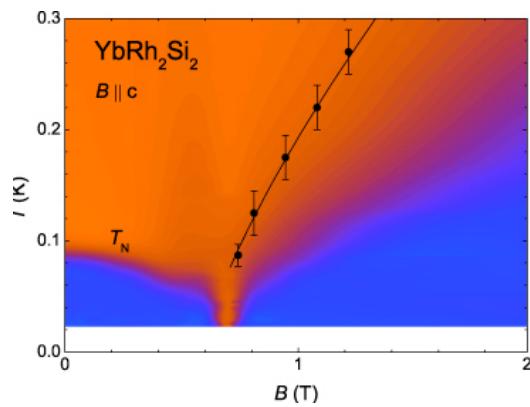
The SDW predictions are violated

Quantum criticality & Non-Fermi liquid



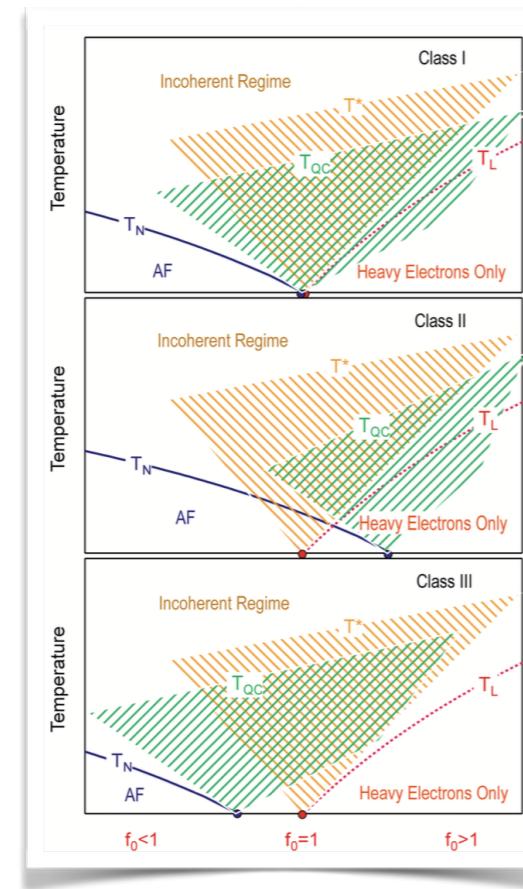
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- ✓ Critical valence fluctuations @2010
- ✓ Critical quasiparticle @2011
- ✓ Magnetic & hybridization fluc. @2017

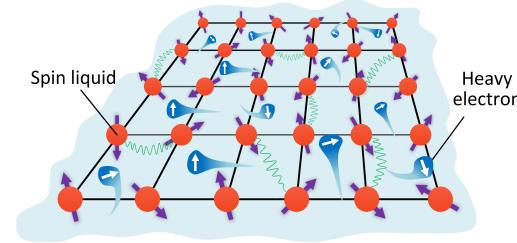
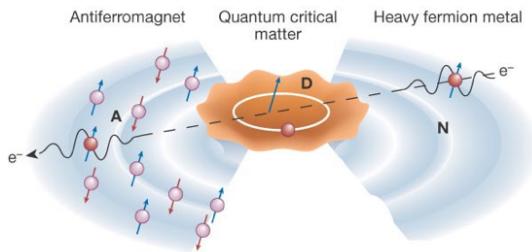


The SDW predictions are violated

Interplay of two types of quantum critical fluctuations

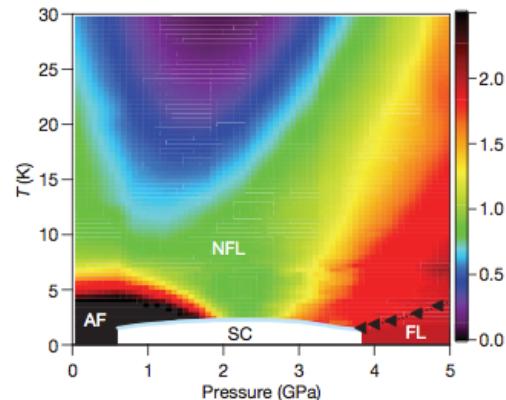
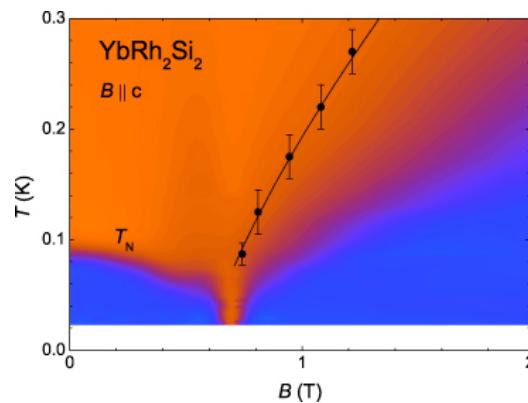


Quantum criticality & Non-Fermi liquid



Quantum criticality @ low T

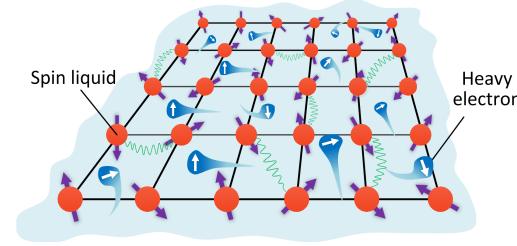
- ✓ RKKY & Magnetism
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- ✓ Fractionalization @2004
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- ✓ Critical quasiparticle @2011
- ✓ Magnetic & hybridization fluc. @2017



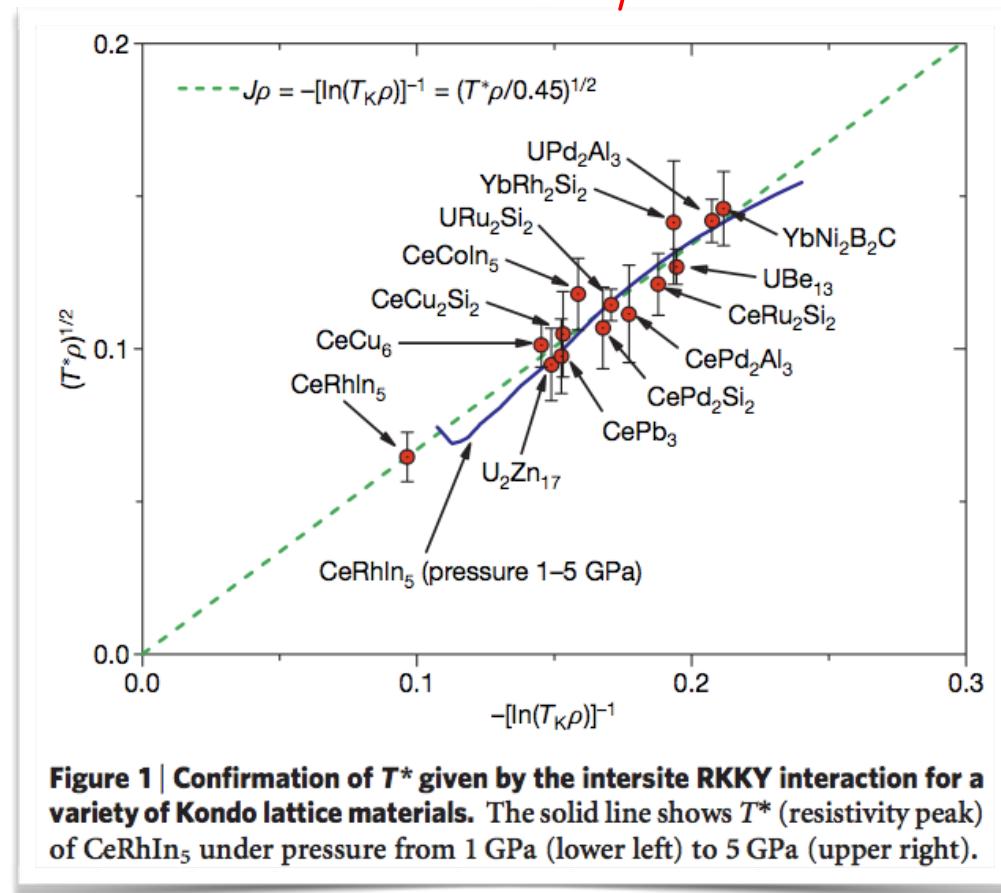
Non-Fermi liquid @ high T

- ✓ Onset of coherence (What is T^* ?)
- ✓ Local/Itinerant crossover (Two fluids?)
- ✓ New scaling (Different from Kondo?)
- ✓ Two types of quantum fluctuations?

Quantum criticality & Non-Fermi liquid



$$T^* = cJ^2\rho$$



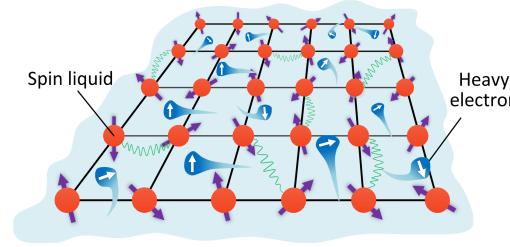
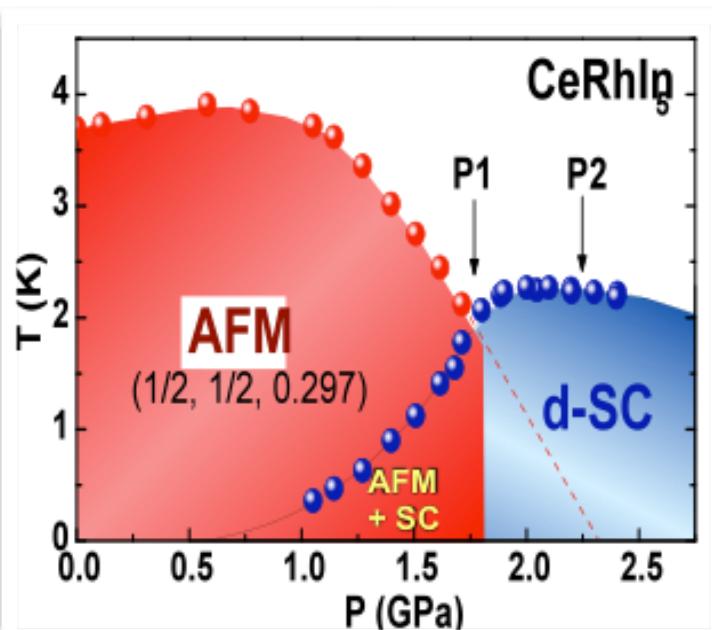
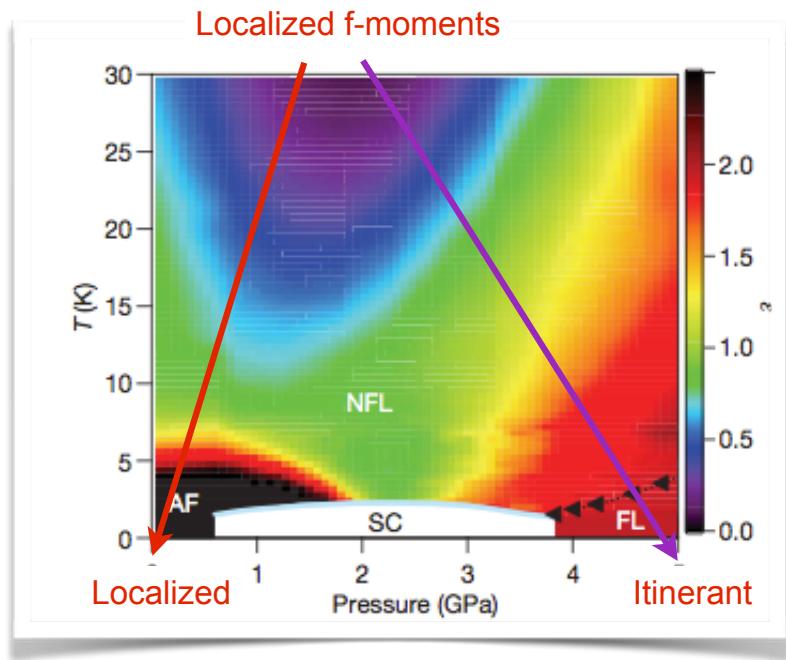
Non-Fermi liquid @ high T

✓ Onset of coherence (What is T^* ?)

Kondo or RKKY ?

In mean-field theory or DMFT, the onset of coherence is given by the Kondo scale!

Quantum criticality & Non-Fermi liquid

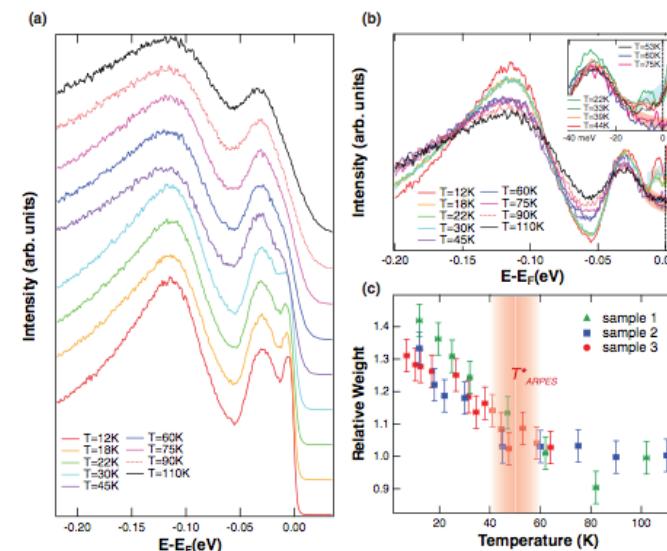


- Non-Fermi liquid @ high T

- ✓ Onset of coherence (What is T^* ?)
- ✓ Localized/Itinerant crossover (Two fluids?)

What is different above & below T^ ?*

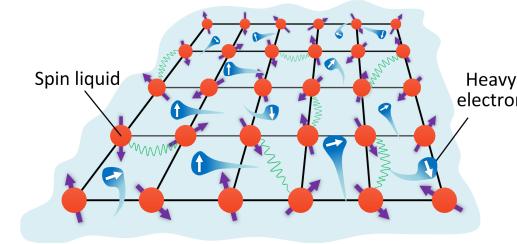
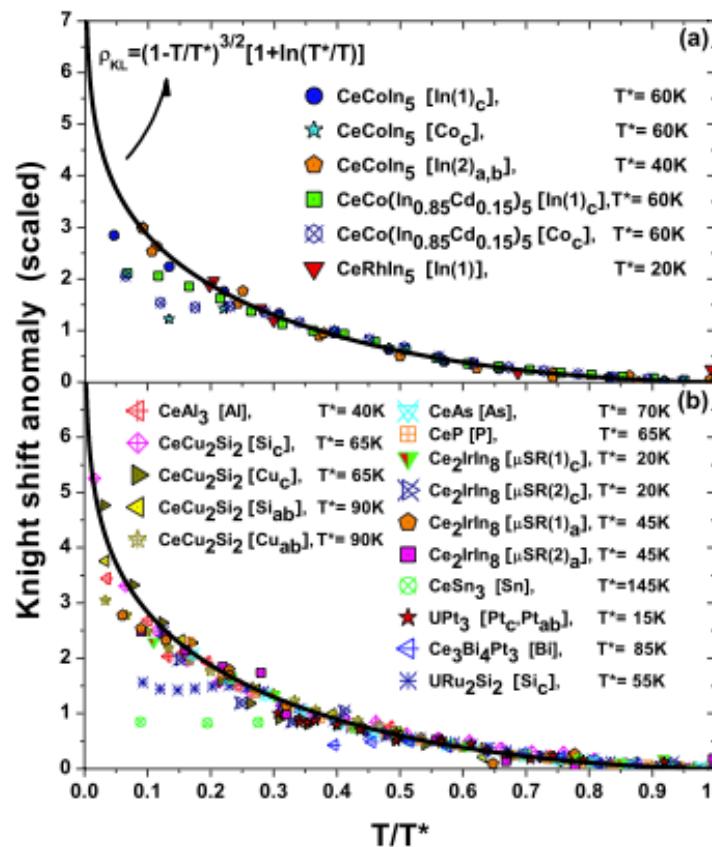
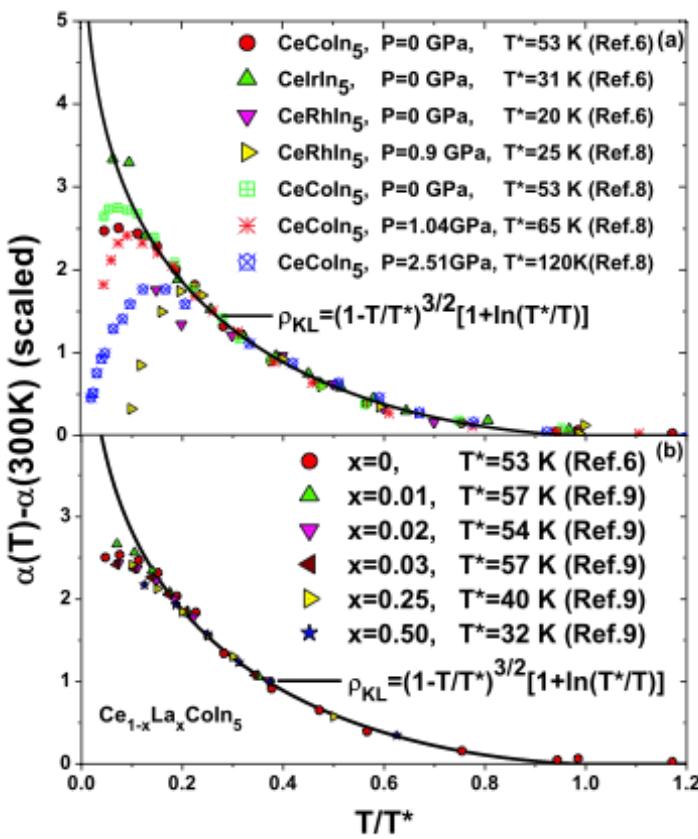
Local vs collective hybridization?



Quantum criticality & Non-Fermi liquid

Hence Kondo lattice physics is different
from the single-ion Kondo physics!

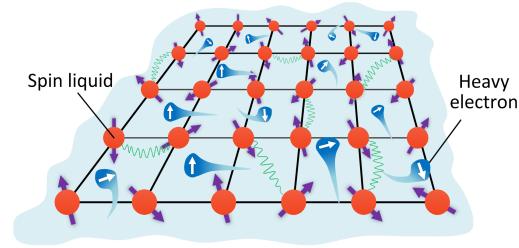
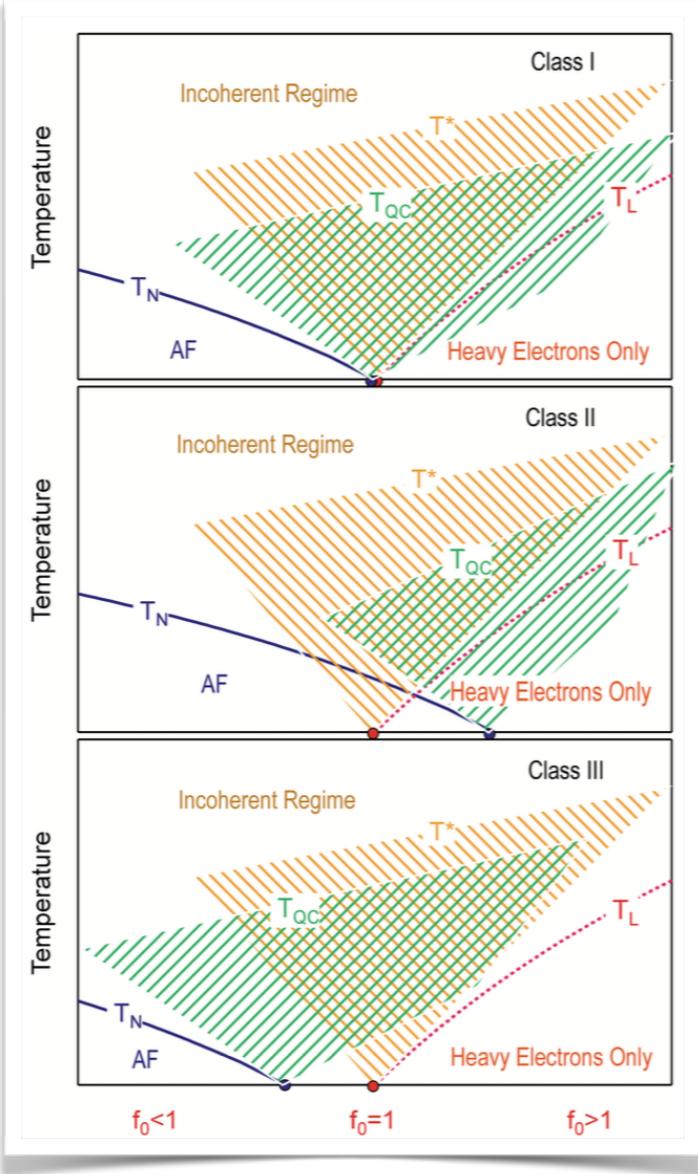
Different from Kondo scaling



Non-Fermi liquid @ high T

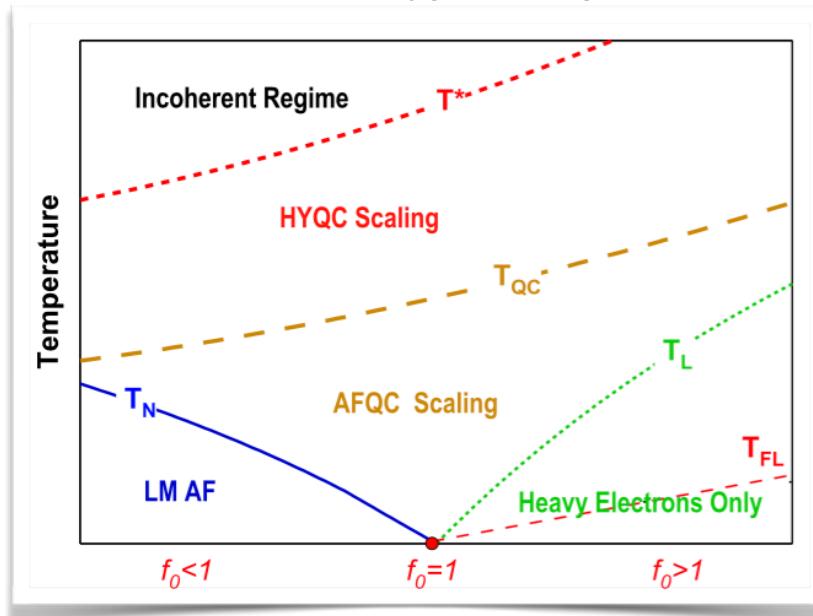
- ✓ Onset of coherence (What is T*?)
- ✓ Local/Itinerant crossover (Two fluids?)
- ✓ New scaling (Different from Kondo?)

Quantum criticality & Non-Fermi liquid



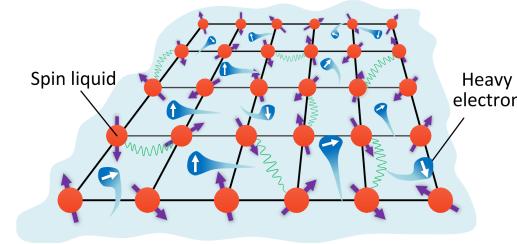
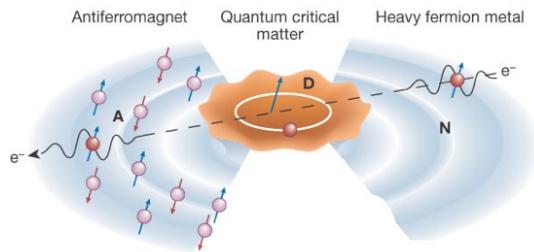
Non-Fermi liquid @ high T

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- ✓ Two types of quantum fluctuations?



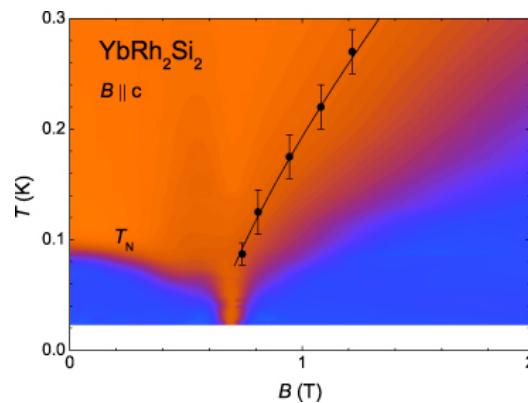
Heavy fermion physics determined by interplay of two types of QC fluctuations?

Quantum criticality & Non-Fermi liquid



Quantum criticality @ low T

- ✓ RKKY & Magnetism
- ✓ SDW scenario (Hertz/Millis/Moriya)
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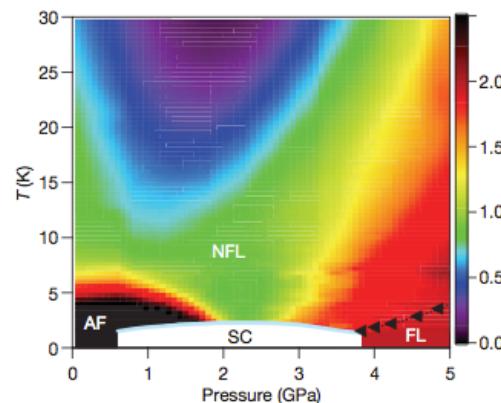
Non-Fermi liquid @ high T

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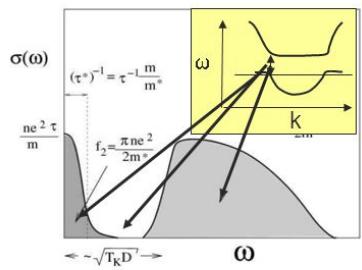
New Quantum Critical Matter

SC, Hidden order, TI ...

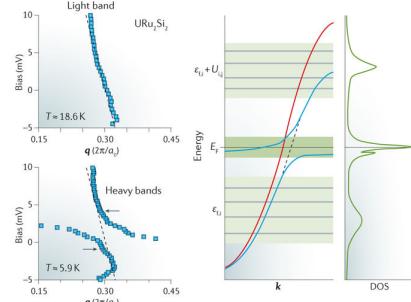


Schematic Understanding of Heavy Fermion Concepts

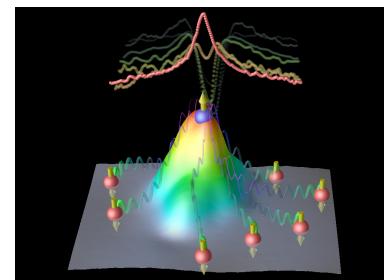
Optical



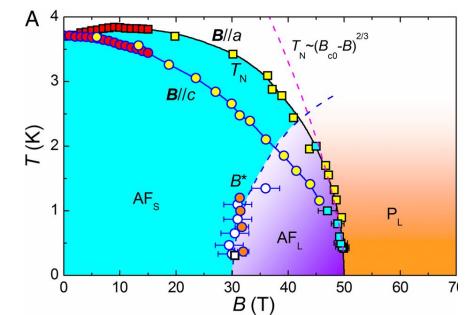
Mean-field



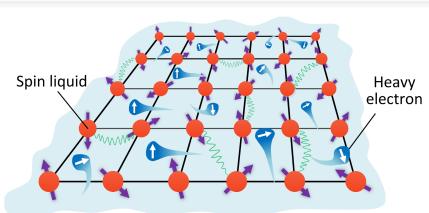
Kondo



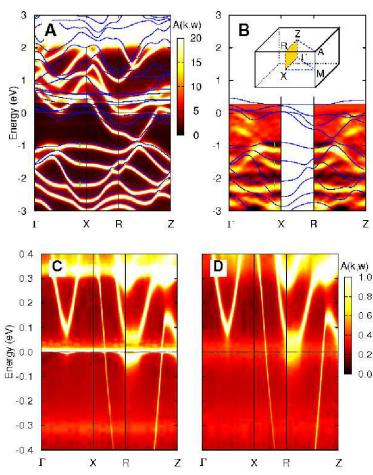
Kondo lattice



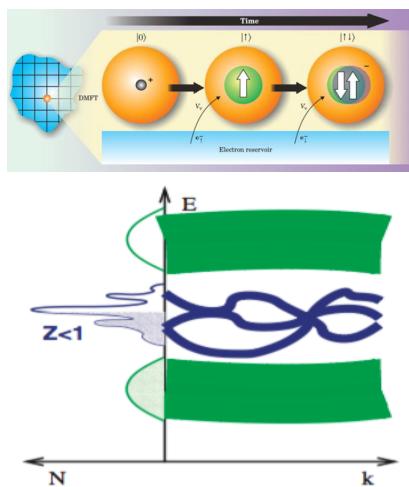
Local/Itinerant crossover



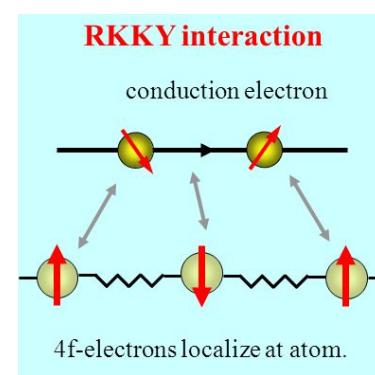
ARPES



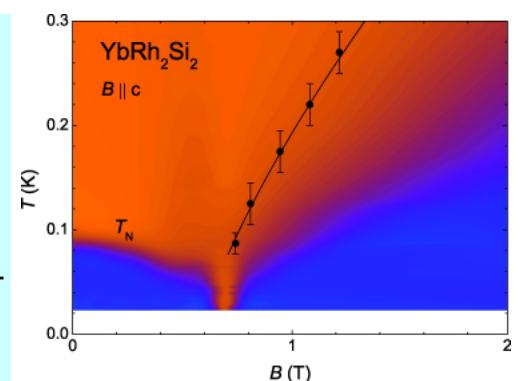
DMFT



Magnetism



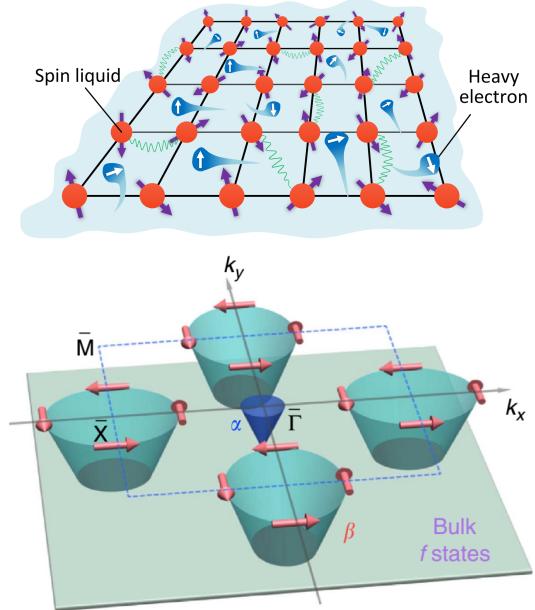
Quantum criticality



Future directions of heavy fermion research

Explore the basic nature of heavy fermion physics

We are approaching the final answer of the questions:
local/itinerant duality & interplay of various QC fluctuations

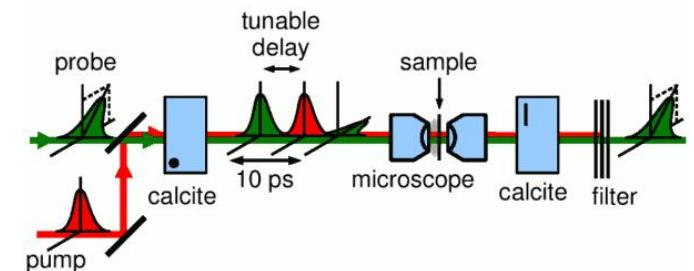


Find new frontiers: “Heavy fermion +”

Topological, Weyl, frustration, new exotic phases ...
Thin film, superstructure, cold atoms, d-electron systems ...

Implement state-of-the-art techniques

ARPES, STM, MBE, pump probe, ultrasound ...



<http://hf.iphy.ac.cn>

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